

**PENN NATIONAL GAMING, INC.**

***HORSE RACING GUIDE***

***2018***

# HORSE RACING GUIDE

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**THIS 2017 HORSE RACING GUIDE SHALL BE SUPPLEMENTED WITH THE RULES AND POLICIES OF THE LOCAL RACING GUIDE FOR HOLLYWOOD CASINO AT CHARLES TOWN RACES.**

# **Penn National Gaming**

## **A LEADER IN RACING**

Penn National Gaming, Inc. (“PNGI” or the “Company”) through its subsidiaries as of March 31, 2017, owns, operates or has ownership interests in twenty-seven gaming and racing facilities in seventeen jurisdictions, including California, Florida, Illinois, Indiana, Kansas, Maine, Massachusetts, Mississippi, Missouri, Nevada, New Jersey, New Mexico, Ohio, Pennsylvania, Texas, West Virginia, and Ontario. As of March 31, 2017, in aggregate, Penn National Gaming’s operated facilities featured approximately 35,000 gaming machines, 800 table games and 4,600 hotel rooms.

The Company’s headquarters are located in Wyomissing, Pennsylvania.

### **Our Tradition of Live Racing**

The roots, and name, of Penn National Gaming trace to a racetrack in Grantville, Pennsylvania. Starting in 1972 with Penn National Race Course, the Company has now grown into not only one of the nation’s top gaming companies, but the largest owner of pari-mutuel facilities in North America. The Company conducts nearly 1,200 live racing dates annually and processes over \$1 billion in pari-mutuel handle on its races or at its facilities annually.

PNGI has full or joint venture ownership in 11 racetracks, including Thoroughbred Racing at Hollywood Gaming at Mahoning Valley Race Course (Austintown, OH); Zia Park Casino, Hotel & Racetrack (Hobbs, NM); Hollywood Casino at Penn National Race Course (Grantville, PA); Hollywood Casino at Charles Town Races (Charles Town, WV) and Sam Houston Race Park (Houston, TX). Standardbred Racing is conducted at Freehold Raceway (Freehold, NJ); Hollywood Casino, Hotel & Raceway (Bangor, ME); Hollywood Gaming at Dayton Raceway (Dayton, OH) and Plainridge Park Casino (Plainville, MA.) Sanford Orlando Kennel Club (Longwood, FL) and Valley Race Park (Harlingen, TX) host Greyhound Racing. In addition to live racing, year-round simulcasting from racetracks around the country, and the world, are offered at all of these facilities.

PNGI operates or jointly operates five Off-Track Wagering facilities: two in Pennsylvania, two in New Jersey and one inside our Bangor, Maine casino. PNGI also operates the national account wagering site *Hollywoodraces.com* which offers legal pari-mutuel wagering via the internet, mobile app and telephone in over 21 states.

### **Major Races & Events**

Offering quality race meets and presenting marquee races is the mission for PNGI’s racing division. PNGI’s racing profile has increased dramatically in recent years, highlighted by the Grade 2, \$1.2 million Charles Town Classic, the richest thoroughbred dirt race in the United States for older horses outside of the Breeders’ Cup Classic and Pegasus World Cup. Charles Town is also host to the Grade 3, \$300,000 Charles Town Oaks.

Other major racing events hosted by Company racetracks include the \$500,000 Grade 2 PENN Mile and \$200,000 Fabulous Strike Handicap (Penn National Race Course); the \$400,000 Houston Ladies Classic and \$250,000 John Connally Stake, both Grade 3 races (Sam Houston Race Park); \$2 million New Mexico Breeder's Championships and \$1 million Land of Enchantment Day (Zia Park.); \$200,000 Steel Valley Sprint (Mahoning Valley); and the \$150,000 Dayton Trotting Derby and \$160,000 Dayton Pacing Derby (Dayton Raceway.)

## **INTRODUCTION TO THE GUIDE**

The public reputation and goodwill of Penn National Gaming, Inc. ("PNGI"), as well as each of the race tracks it owns or operates (collectively and individually referred to herein as the "Racetrack"), are valuable assets vital to our success. As members of the pari-mutuel wagering and gaming communities, it is necessary for all of us to adhere to the industry's highest standards of integrity in order to ensure both positive public perception and maintain the licenses and regulatory privileges of PNGI and the Racetrack.

This *Horse Racing Guide* (the "Guide") applies to all personnel associated with the Racetrack, including all persons who hold valid and current racing licenses or who are otherwise permitted on the Racetrack's privately owned property, including, but not limited to, owners, racing officials directly employed by the Racetrack (excluding employees of Racing Commissions or other government regulatory bodies), trainers, trainers' agents, grooms, veterinarians, vendors, pony people, outriders, independent contractors, jockeys, jockeys' agents, drivers, guests, other agents, and anyone else with a racing license or permit (collectively referred to herein as the "Racing Participants").

Racing Participants shall comply with all rules and policies within the Guide. Failure to abide by the rules and policies within the Guide may result in sanctions being imposed on a Racing Participant, including but not limited to loss of stabling and/or racing privileges, ejection, eviction or barring from the all premises of the Racetrack. A Racing Participant's violation of the Guide at any one PNGI's Racetrack, may be considered by PNGI, in its sole discretion, as a violation applicable to all other PNGI Racetracks, and such Racing Participant may face sanctions at all PNGI Racetracks.

The Guide covers a wide range of actions designed to foster integrity and honesty among all Racing Participants. It does not cover every issue that may arise, but rather sets out basic principles of behavior for all Racing Participants. Dishonest or illegal conduct will constitute a violation regardless of whether the conduct is specifically addressed in the Guide. In order to maintain the privilege to enter and conduct business on the Racetrack grounds, Racing Participants must be familiar with and comply with the Guide as well as all applicable laws and regulatory requirements.

No guide or code of conduct can replace the thoughtful behavior of someone conducting their business with a high level of integrity. Thus, in addition to the Guide, all Racing Participants are also expected to conform to the racing industry's highest standards of professional and ethical

conduct. Any decision to act, or not to act, against a person covered by this Guide is at the sole discretion of the Racetrack and based on the information and circumstances of each individual occurrence.

While we expect to periodically give notice relative to updates to the Guide and other matters, because of the fast-paced and highly-regulated nature of our business, the Racetrack reserves the right to alter or amend this Guide and any or all of its rules and policies, at any time, and from time to time, at its or PNGI's sole discretion. The Guide is widely distributed in the public areas accessible to Racing Participants as well as on the websites of every Racetrack. We will make reasonable efforts to communicate any such change; however, it is incumbent upon the Racing Participants to make themselves familiar with the contents of the Guide and to be aware of any changes, updates or modifications to this Guide.

## **PART 1 – GENERAL**

### **1.1 STANDARDS OF CONDUCT**

#### ***A. General***

All persons covered by the Guide shall avoid conduct that is detrimental to the integrity of, and public confidence in, the pari-mutuel wagering and gaming community. Ethical and responsible conduct serves the interests of the Racetrack, the Racing Participants, and the racing industry as a whole. Illegal or irresponsible conduct does more than simply tarnish the offender. It can put innocent persons at risk, damage the reputation of others, and undermine the public's respect and support for the racing industry.

In the normal course of our business, the Racetrack may require Racing Participants to complete and sign various forms, and provide other information as required or requested, prior to being granted access to or continuing with racing privileges at the Racetrack. Racing Participants are expected to fully cooperate at all times in these processes.

#### ***B. Dishonest, Offensive or Illegal Conduct***

While criminal activity is clearly outside the scope of permissible conduct, and persons who engage in criminal activity will be subject to the appropriate legal actions, the standards of behavior for Racing Participants are considerably higher than merely avoiding conviction of a crime. Instead, persons must conduct themselves in a way that is not only "lawful," but that also promotes a high degree of integrity within the industry. Persons who fail to live up to this standard are subject to sanctions by the Racetrack.

By way of example only, and not intended as an exclusive list, the following activities are prohibited (whether within or outside of the Racetrack grounds):

- Criminal offenses of any kind;
- Violent or threatening behavior;
- Conduct that creates a negative public perception of PNGI or the Racetrack;
- Conduct that undermines or puts at risk the integrity and reputation of the pari-mutuel wagering and gaming industry in general;
- Violation of the Racetrack’s safety policies or rules;
- Failure to comply with the lawful directions of authorized Racetrack representatives; and
- Misrepresentations in any application or form or other disclosure statements made to the Racetrack or to any government regulatory body.

### ***C. Racing Misconduct***

Any person(s) involved in entering or scratching horses that Racetrack reasonably believes is not in good faith will be in violation of the Guide. Examples of this conduct include, but are not limited to:

- Entering a race without the intention of racing;
- Entering a horse into a race or causing a horse to be entered into a race for the benefit of another;
- Frequent scratching of horses entered to race; and
- Knowingly receiving a horse through a transfer, or acting as a “program trainer,” from/or for individuals not permitted on Racetrack’s property or not properly licensed by the appropriate regulatory body.
  - i. In such cases, a trainer or other individual associated with horse may be required to provide written documentation evidencing such individual is the actual trainer, or that a legitimate transfer has taken place among the former trainer or owner, wholly separated from any matters involving such horse. Documentation that may be required includes, but is not limited to, bank records, checks, receipts or signed affidavits. This requirement is over and above any requirements that may be placed on an individual by a regulatory body and the legitimacy of the transfer in question will be determined in the Racetrack’s sole discretion.

- ii. Unless Racetrack receives documentation and evidence to its satisfaction that such a complete separation between current and former trainer exists, Racetrack reserves the right to restrict the entering of horses, or entry of horses to the grounds of Racetrack that (1) made their most recent start within 90 days; and/or (2) made their most recent start in the name of a Racing Participant who would not be permitted to participate in racing at Racetrack, whether due to action taken by Racetrack, a violation of this Guide, or based on violation of rules and regulations in the applicable jurisdiction.
- iii. In the event an individual is serving a suspension from a recognized jurisdiction, Racetrack reserves the right to restrict entries from individuals who are closely associated or related to the suspended individual or such individuals who worked directly for the suspended individual unless Racetrack has determined otherwise.

#### ***D. Medications and Related Issues***

In the event of a positive test received in a recognized jurisdiction by a Racing Participant, or a horse under the care of a Racing Participant, Racetrack reserves the right to impose sanctions as contained herein.

A “positive test” is defined herein as the presence of a prohibited medication, or a medication in a horse in excess of allowable amounts as stated in the applicable rules and regulations of a recognized jurisdiction, the subsequent confirmation of such an excess by a split sample (if conducted) and the documented verification of such an excess by a recognized jurisdiction’s horse racing regulatory authority; *provided however that* when a split sample is not requested, the original test indicating the presence of medication in excess of allowable amounts as stated in the applicable rules and regulations of a recognized jurisdiction shall constitute a “positive test.”

Racetrack understands that positive tests occur with medications that are classified as to their pharmacological impact and its impact on the ability to affect the performance of a horse or the outcome of a race. However, a trainer whose horse in such trainer’s care and custody receives a positive test for a Class 1 or Class 2 medication (as determined by the Association of Racing Commissioners International, “ARCI”), or a medication not permitted by the Food and Drug Administration (FDA) in a recognized jurisdiction may be sanctioned by the Racetrack, upon receipt of reasonable information acknowledging a positive test.

The Racetrack reserves the right to restrict entry in any race at any PNGI Racetrack to a horse that has received a positive test for a Class 1 or Class 2 medication for a minimum period of 30 days from the date Racetrack receives proper notification of a positive test and may be required to vacate the grounds of the Racetrack. All horses in the care of a trainer receiving a Class 1 or Class 2 positive test, or receiving a Category A penalty under ARCI, may also be requested to vacate the Racetrack grounds for a period of time as determined by the Racetrack. An Owner whose horse(s) receives a positive test for a Class 1 or Class 2 medication(s), or a Category A penalty with two (2) different trainers in any recognized jurisdiction may be sanctioned by the Racetrack, upon proper notification of the positive test(s) and/or penalties. Additionally, the Racetrack may sanction an owner following the receipt of the first positive test for a Class 1 or

Class 2 medication on any of Owner's horse(s) in a recognized jurisdiction. For purposes of this provision, any individual or corporation will be deemed an owner of a horse if their percentage of ownership requires them to be licensed in the jurisdiction where the positive test occurred.

A trainer, an individual horse and/or the owner of an individual horse that receives multiple positive tests, without regard for the classification of the medication, may be sanctioned by the Racetrack. In making such a determination, the Racetrack may consider the frequency and time span encompassing the period of positive tests; the classification of the medication(s) for the positive tests; the nature and severity of the medication involved in such positive tests; extenuating circumstances regarding the positive tests, and; any other previous conduct by the trainer and/or owner that Racetrack deems relevant in making such a determination regarding such positive tests.

Any adjudication process undertaken by a trainer, owner or individual associated with a horse receiving a positive test(s) shall not be a determining factor upon the Racetrack with regard to any independent action or sanctions imposed by the Racetrack.

With the exception of licensed veterinarians permitted to practice on Racetrack grounds, the possession of hypodermic needles, syringes and medications contrary to any applicable regulation is prohibited and may result in sanctions by the Racetrack.

Racetrack may take any action under this section for any violation of Prohibited Practices Section of ARCI's "*Uniform Classification Guidelines for Foreign Substances and Recommend Penalties and Model Rule*" as amended from time to time. Such Prohibited Practices include, but not limited to at this time, possession or use of Cobalt, Erythropoietin (EPO), Darbepoietin, Oxyglobin, Hemopure, and Demorphin or any other drug, substance or medication not approved by the United States Food and Drug Administration (FDA) for use in the United States.

#### ***E. Illegal Drugs/Alcohol***

The use, distribution or possession of illegal drugs is not permitted at any time on grounds of the Racetrack. For the purposes of this rule, an illegal drug is any drug which is not legally obtainable, or one which is legally obtainable but has not been legally obtained, including prescription drugs not legally obtained and prescription drugs that were prescribed for someone else. Alcohol is not permitted within any Racetrack backstretch area, or in any barns or dormitories located on Racetrack property.

#### ***F. No Solicitation Policy***

There is a no solicitation policy at the Racetrack, which includes, but is not limited to, circulation of petitions, political flyers, or distribution of literature not approved in advance in writing by the Racetrack. Signs identifying stables and trainers and vendors must be approved and in writing by the Racing Secretary's Office or appropriate Racetrack Manager.



### ***G. Mandatory Mortality Reviews***

In the event a horse suffers a catastrophic injury or sudden death either during the course of a live race, during training hours or otherwise while on the grounds of the Racetrack, Racetrack may require the trainer, and/or any other individual associated with the training, racing, care or custody of such horse to participate in a mortality review meeting with Racetrack. Such review meetings shall be scheduled as soon as practical after such incidents occur.

Furthermore, it is the responsibility of the trainer, or veterinarian for trainer, to report the death of any horse under their care or custody, regardless of reasons or circumstances, within eight (8) hours to the proper Racetrack racing official. No horse may be removed from the Racetrack grounds without such notification and authorization for removal by Racetrack. Racetrack reserves the right to require, at cost of trainer/owner of such horses, a necropsy, examination and removal of any horse that is euthanized or dies, for any reason, on the grounds of Racetrack.

### ***H. Animal Welfare***

Any Racing Participant covered by this Guide who handles or treats any animal without regard for the well being of the animal or causes physical injury or pain or suffering to the animal, including excessive or unnecessary training or whipping, as determined in the sole discretion of Racetrack, may be sanctioned. Except as required by statute or regulation, it is the sole responsibility of the trainer to ensure that a licensed and competent veterinarian is available at all times to ensure the health and welfare of such trainer's horses and to attend to trainer's horses at all times such horses are on the grounds of Racetrack in any and all emergency situations in which a regulatory or Racetrack veterinarian is not required to be present or to respond to a trainer's horse(s.).

In the event Racetrack receives credible and verifiable information regarding a Racing Participant who knowingly, or without conducting proper due diligence, buys or sells a horse for slaughter, directly or indirectly, such individual(s) may be sanctioned by the Racetrack. The Racetrack highly encourages Racing Participants to obtain proper written documentation on the sale or transfer of any horse that they previously raced or was stabled on the grounds of Racetrack. The Racetrack reserves the right to require trainer or individuals responsible for a horse to provide such documentation.

### ***I. Treatment of Racetrack Staff/Non-Disparagement***

All persons covered by the Guide are required to afford the Racetrack's staff the highest level of professional courtesy and treatment. Racing Participants agree to fully cooperate in providing information pursuant to any investigation by Racetrack or its agents.

Any verbal or physical mistreatment or inappropriate or disrespectful conduct toward the Racetrack's staff will be a violation of required standards.

It shall be a violation of standards for any person covered by the Guide to disparage PNGI or the Racetrack, or any of their affiliates, employees, staff or personnel, in any manner by any type of

medium. This includes, but is not limited to, disparagement by use of the internet, e-mail, and via any social media such as blogs, Facebook, etc.

### ***J. Past Conduct and/or Sanctions***

Past conduct and/or sanctions having occurred at other PNGI Racetracks, in other recognized jurisdictions or at other facilities may be considered when evaluating the fitness of an individual covered by the Guide to conduct business on any PNGI Racetrack property. Such evaluations and decisions will be at the sole discretion of PNGI and the Racetrack.

## **1.2 REPORTING GUIDELINES AND WHISTLEBLOWER PROTECTION**

Individuals may, in good faith, report violations or suspected violations of the Guide via written submission made in a timely manner to the PNGI Corporate Vice President of Racing (c/o PNGI's corporate office at 825 Berkshire Blvd., Wyomissing, PA 19610) or the Racetrack's Director of Racing. There will be no retaliation of any kind against any person for good faith reporting of a suspected violation. Any person who feels that they have been retaliated against shall report it as set forth above. Any person who retaliates against someone who in good faith has reported a suspected violation is subject to sanctions by the Racetrack.

## **1.3 ACTING IN GOOD FAITH**

Anyone filing a report or complaint concerning a violation or suspected violation of the Guide must act in good faith and have reasonable grounds for believing the information disclosed indicates a violation. Any allegations that prove not to be substantiated and which prove to have been made maliciously or were known to be false when made will be viewed as a serious violation of the principles contained in this Guide.

## **1.4 RACETRACK AS SOLE ARBITER**

As property owner responsible for the integrity of racing at its locations the Racetrack is the sole and ultimate arbiter in interpreting and enforcing provisions of this Guide and the Local Racing Guide of the respective Racetrack.

## **1.5 RACETRACK AS A PRIVATE ACTOR**

While Racetrack may consider violations of racing rules in recognized jurisdictions and/or results of medication testing performed by a recognized jurisdiction when exercising its rights against individuals violating provisions of this Guide, Racetrack is a wholly separate and private entity from any state agency or government regulatory body and Racetrack at all times acts independently from any such agencies or regulatory bodies with respect to all persons covered by the Guide.

As Racetrack is duty bound to uphold the law, no efforts by Racetrack to do so shall be construed as Racetrack operating as an instrument of the state.

## **1.6 INSURANCE**

All persons holding a racing license or permit as a trainer may be required to have workmen's compensation insurance as required by the Racetrack and/or recognized jurisdictional authority or Racing Commission. Trainers shall, upon request by Racetrack or recognized Racing Commission or jurisdictional authority, provide a workmen's compensation certificate and any other documentation Racetrack, Racing Commission or jurisdictional authority may reasonably request evidencing that the foregoing insurance is in effect.

Racetracks may require trainers, on behalf of themselves, and their agents and employees, as well as any other independent contractors working on the grounds of the Racetrack, to maintain comprehensive general liability insurance in a minimum amount proscribed in the Local Racing Guide, which is intended to indemnify the Racetrack, and its directors, officers, employees, landlords and agents from any and all liability arising from a trainer's actions. If such general liability insurance is mandated in the Local Racing Guide, the trainer, upon request by Racetrack, shall provide a certificate of insurance and any other documentation Racetrack may reasonably request evidencing that the foregoing insurance is in effect.

Vendors operating or regularly conducting business on Racetrack grounds may be required to show proof of insurance in such amounts as required by Racetrack.

Any vehicle operated on the Racetrack grounds or in the Racetrack stable area must be properly registered with Racetrack and proof of proper insurance will be required upon request. No person shall operate any vehicle on the grounds of Racetrack without a valid driver's license. Racetrack reserves the right, at any time, to require Racing Participants to show proof of any appropriate insurance coverage as it deems necessary.

## **1.7 SURVEILLANCE/INSPECTION**

The racing industry is highly-regulated and requires significant oversight including security-related measures. As a result, surveillance and security inspection measures are in use within the Racetrack premises. All individuals entering the grounds at the Racetrack consent to all surveillance and/or inspection measures employed by the Racetrack for both individuals and horses.

The Racetrack may require horses to be placed in a pre-race surveillance program and/or specified secured areas prior to the post time of the race in which they are entered under terms, conditions, policies and procedures prescribed from time to time by the Racetrack.

Racetrack reserves the right to inspect any vehicle entering, exiting or parked on the grounds of the Racetrack as well as any piece of equipment brought onto the grounds of Racetrack by any individual. Failure to allow inspections under this section may result in sanctions by the Racetrack.

A trainer and his/her veterinarian are required to ensure that there will be no treatment or administration given to a horse (other than for a documented emergency situation), or medications administered to a horse, on race day, other than the administration of approved race day medication(s) in the respective jurisdiction of Racetrack. Racetrack reserves the right to impose additional requirements for horses scheduled to race, including but not limited to restricting access to horse's stall on race day for purposes other than feeding, general grooming and administration of permissible race day medication(s) of such horse as well as emergency veterinary care.

Responsibility and costs for any horse in any surveillance program will remain solely with the horse's trainer. In no event will Racetrack assume responsibility or liability for such horse(s).

### **1.8 ASSUMPTION OF RISKS**

All persons covered by this Guide acknowledge horses, horse riding and racing, horse training and practicing, caring for horses and all other equestrian related activities can be extremely dangerous and routinely involve risk of serious injury, death and/or property damage.

By participating in our industry and choosing to enter the Racetrack premises voluntarily, Racing Participant has and does hereby assume all of the above risks, and releases Racetrack, on the Racing Participant's behalf and on behalf of all their family members, and will hold Racetrack harmless from any and all liability, actions, causes of actions, debts, claims and demands of every kind and nature whatsoever which Racing Participant now has or which may arise out of or in connection with his/her participation in those activities and risks.

## **PART 2 – RESULT OF GUIDE VIOLATIONS**

### **2.1 IMPOSITION OF SANCTIONS**

A violation of any of the rules and policies set forth in this Guide and/or Racetrack's Local Guide may lead to the imposition of sanctions against Racing Participants. Subject to applicable regulatory requirements, sanctions shall be administered in the sole discretion of the Racetrack, including but not limited to:

- i. temporary suspension or permanent loss of privileges, including loss of stabling and/or racing privileges at Racetrack;
- ii. loss or refund of any or all nomination, declaration and entry fees;
- iii. being barred from all Racetrack and PNGI's Racetrack premises;
- iv. any other remedy available by law.

Any sanction which involves a Racing Participant's privileges being suspended or lost, or a Racing Participant being barred from premises, may involve such loss, suspension or barring from any or all of the PNGI Racetracks, as determined in the sole discretion of PNGI and or Racetrack. Subject to Section 2.2 below, the sanctions as set forth by Racetrack for any violation of the rules and policies are final.

## **2.2 OPPORTUNITY FOR RECONSIDERATION**

In cases where Racetrack has barred a Racing Participant from the premises for a period of more than two (2) years, such person subject to such action may seek review of the decision by written request directed to the PNGI Corporate Vice President of Racing or the Director of Racing at Racetrack which originally issued the ejection and setting forth, in detail, the grounds for the request for reconsideration.

Any review shall not be considered until a minimum of one (1) year has elapsed since the last review of such ejection. Racetrack is under no obligation to grant a review or reconsider a prior ejection.

# HOLLYWOOD *Casino*<sup>®</sup>

AT CHARLES TOWN RACES



## HORSE RACING GUIDE

**LOCAL SUPPLEMENT TO THE  
PENN NATIONAL GAMING, INC. RACING GUIDE**

JANUARY 2018

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## **Introduction**

This Local Horse Racing Guide (“Local Guide”) is the Hollywood Casino at Charles Town Races (“HCCTR”) local supplement to the Penn National Gaming, Inc. (“PNGI”) Horse Racing Guide (“Guide”). The terms of the Guide apply with full force and effect to the HCCTR facility, and HCCTR requires all personnel associated with HCCTR, and all persons who hold valid and current racing licenses or permits, or are otherwise permitted on HCCTR’s privately owned property, including but not limited to, owners, racing officials, trainers, groomers, vendors, pony people, outriders, independent contractors, jockeys, jockeys’ agents, minors, guests, agents (herein sometimes collectively referred to as “Racing Participants”) seeking access to HCCTR’s property must comply with all requirements set forth in the Guide, and any additional requirements set forth in the Local Guide.

HCCTR will, of course, comply with all applicable state laws, Rules and Regulations in the conduct of its business. As set forth in the Guide and this Local Guide, PNGI and HCCTR reserve the right, in their sole discretion, to determine the appropriate actions taken against violators of any of the requirements of either Guide. PNGI and HCCTR’s actions in this regard are independent of, and not dependent upon, any action, or lack of action, that any state agency, commission, or official may take.

## Contact Information

Mailing Address: Hollywood Casino at Charles Town Races  
750 Hollywood Drive  
Charles Town, WV 25414

Main Phone: (800) 795-7001

Web Site: [www.hollywoodcasinocharlestown.com](http://www.hollywoodcasinocharlestown.com)

2018 Racing Dates: January 10 – December 22

Post Times: 7:00 PM Tuesday thru Saturday  
5:00 PM on Charles Town Oaks day  
12:30 PM on Charles Town Classic day

Racing Office Number: (800) 331-7079

Stewards Office: (304) 724-4268

Main Stable Gate: (304) 724-4228

Receiving Barn: (304) 728-6491

Training Racetrack Gate: (304) 724-3805

Test Barn: (304) 724-4396

Security Office: (304) 724-4398

Results and Scratches: (304) 724-4384

## West Virginia Racing Commission

The Honorable Jim Justice ..... Governor  
Jack Rossi..... Chairman  
Anthony Figaretti ..... Member  
Ken Lowe..... Member  
Joe Moore..... Executive Director  
Dave Hardy ..... Cabinet Sec. Dept. of Revenue

West Virginia Racing Commission  
Mailing Address: 900 Pennsylvania Ave., Suite 553  
Charleston, WV 25302  
Phone: (304) 558-2150  
Fax: (304) 558-6319

Charles Town Licensing Office  
Phone: (304) 724-4312  
Fax: (304) 725-4021

## Charles Town Racing Officials & Personnel

John Finamore..... VP of Regional Operations  
Scott Saunders.....General Manager  
North Grounsell ..... Assistant General Manager  
Dickie Moore ..... General Manager of Racing Operations  
Thomas Weitnauer ..... Chief Financial Officer  
Susan O'Connell ..... VP of Marketing  
Erich Zimny ..... VP of Racing Operations  
Charles McIntosh..... Racing Secretary  
Duane Sowers ..... Assistant Racing Secretary  
Elizabeth Rogers ..... Assistant Racing Secretary  
Mike Elliott ..... Racing Operations Manger  
Nelson Shaw .....Racing Official  
Wayne Jamtgaard.....Racing Official  
Mark Munden.....Racing Official  
Brenna Ward .....Racing Official  
Annaliese Hibbard .....Racing Official  
Henry W. Allen.....Clocker  
Fran DiAmario..... Head Starter  
Doug Bowling ..... Track Superintendent  
Lee Monaco ..... Director of Security Operations  
Danny Wright..... State Steward  
Denver Beckner ..... State Steward  
Elizabeth Daniel/Joan Dalonzo.....State Veterinarian

## Horsemen's Groups & Associations

Charles Town HBPA  
835 East Washington St., Suite 106  
Charles Town, WV 25414  
Phone: (304) 725-1535  
Fax: (304) 728-2113  
Email: cthbpa@gmail.com

West Virginia Breeders' Classics  
PO Box 1251  
Charles Town, WV 25414  
Phone: (304) 725-0709  
Email: wvbcmbn@verizon.net

West Virginia Breeders Association  
207 S. Fairfax Blvd.  
Ranson, WV 25438  
Phone: (304) 728-6868  
Fax: (304) 724-7870  
Email: wvbreeders@gmail.com

WV Thoroughbred Development Fund  
c/o Charles Town Races & Slots  
PO Box 551  
Charles Town, WV 25414  
Phone: (304) 724-4318

## Horsemen's Supplies & Services

### Veterinarians

Valley Equine (304) 725-1471  
Butler Equine (304) 725-1752

### Feed Supplies

Southern States (304) 725-7011  
Gowers Feed (304) 728-7777

## Traveling To Hollywood Casino at Charles Town Races

### Commercial Flights & Airports

<u>Airport</u>	<u>Miles to HCCTR</u>	<u>Main Number</u>
Washington Dulles Airport	45	(703) 572-2700
Baltimore-Washington International	75	(410) 859-7111
Reagan National Airport	75	(703) 417-8000

### Private Planes

Eastern Regional WV Airport, Martinsburg, WV (15 miles from HCCTR)  
For information, contact Arcadia Aviation at: (304) 262-2507

### Limousine Service

Detailed Destinations	(304) 270-8700
Formal Express, Inc.	(304) 263-7282
Luxury Transport of Charles Town	(304) 725-5066

### Equine Transportation

#### Ground Transportation

<u>Company</u>	<u>Contact #</u>
Sallee Horse Vans	(800) 967-8267
Elite Horse Transport	(888) 477-0100
U.S. Equine Int'l Transport Agents	(859) 987-4406

#### Air Transportation

<u>Company</u>	<u>Contact #</u>
Tex Sutton Forwarding	(859) 231-0646

## Location & Directions

Hollywood Casino at Charles Town Races, located in Charles Town, WV, is situated 10 minutes from historic Harpers Ferry. The entertainment facility is within 60 miles of the Baltimore and Washington D.C. beltways. Directions from surrounding areas:

- From the Baltimore, MD area (approximately 60 minutes) Take Route 70 West to Frederick. Take 340 West and follow approximately 20 miles to Charles Town. Hollywood Casino at Charles Town Races can be seen on your right.
- From the Washington D.C. & Northern Virginia area (approximately 70 minutes) Take Route 7 to Leesburg. Follow Route 7 bypass to Route 9 (approximately seven miles). In Charles Town, make right on Washington Street; follow one mile to Hollywood Casino at Charles Town Races.
- From the Chambersburg, PA area (approximately 45 minutes) Take Interstate 81 South to West Virginia. Get off Exit 12 and make left onto Route 9. Follow Route 9 approximately fourteen miles to Charles Town. Take Hollywood Casino at Charles Town Races exit.
- From the Frederick, MD area (approximately 25 minutes) Take Route 340 West to Charles Town. Follow signs for Hollywood Casino at Charles Town Races.
- From the Hagerstown, MD area (approximately 30 minutes) Take Interstate 81 South to West Virginia. Get off Exit 12 and make left onto Route 9. Follow Route 9 approximately 14 miles to Charles Town. Take Hollywood Casino at Charles Town Races exit..
- From the Harrisonburg, VA area (approximately 90 minutes) Take Interstate 81 North to Exit 5. Follow Route 51 East 12 miles to Hollywood Casino at Charles Town Races.
- From the Winchester, VA area (approximately 30 minutes) Take Interstate 81 North to Exit 5. Follow Route 51 East 12 miles to Hollywood Casino at Charles Town Races.

## LODGING INFORMATION

The Inn at Charles Town accommodates 153 guestrooms, including 18 luxurious suites that overlook the Thoroughbred race track. We feature a complimentary hot buffet breakfast daily, complimentary wireless internet access, and complimentary shuttle transportation to all the racing and gaming action! With 1,700 sq feet of flexible meeting and conference space, the hotel is able to accommodate groups of 5 to 150.



Available at The Inn at Charles Town is the Shenandoah meeting room which features 1,470 square feet of flexible space, accommodating both business and social events. Classroom style set ups can accommodate up to 70 people, 150 for a theater-style arrangement and up to 110 for a luncheon or dinner event. Shenandoah also can be divided into two separate spaces, to increase meeting flexibility as needed.

The Potomac Executive boardroom is also located at The Inn at Charles Town. This upscale boardroom features rich interiors, millwork, boardroom seating for up to 8 people and a conference speakerphone installed as a standard feature. Tours of the banquet and meeting space can be arranged by the Group Sales Team.

**You can contact our hotel reservations office by calling 1-304-885-5800  
to make reservations 24 hours per day.**

### OTHER AREA LODGING

Hotel	Location	Minutes to Racetrack	Phone Number
Holiday Inn Express	Charles Town, WV	1	(304) 725-1330
Hampton Inn	Charles Town, WV	1	(304) 725-2200
Turf Motel	Charles Town, WV	1	(800) 422-8837
Town House Motor Lodge	Charles Town, WV	1	(800) 227-2339
Knights Inn	Charles Town, WV	1	(304) 725-2041
The Carriage Inn	Charles Town, WV	3	(800) 867-9830
Washington House Inn	Charles Town, WV	5	(800) 297-6957
Gilbert House	Charles Town, WV	8	(304) 725-0637
Hillbrook Inn	Charles Town, WV	8	(304) 725-4682

# 2018 RACING CALENDAR



AT CHARLES TOWN RACES

## 2018 Live Racing Schedule

Subject to Periodic Approval of WV Racing Commission

12:30 PM Post

5:00 PM Post

7:00 PM Post

January						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

Live Days: 13

February						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28			

Live Days: 16

March						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

Live Days: 19

April						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

Live Days: 12

May						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

Live Days: 13

June						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

Live Days: 14

July						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

Live Days: 12

August						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

Live Days: 14

September						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

Live Days: 13

October						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

Live Days: 12

November						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

Live Days: 14

December						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

Live Days: 10

April 21 Charles Town Classic day (13 Races)

September 22: Race for the Ribbon day (12 Races)

October 13: West Virginia Breeders' Classics XXXII

## **Track, Stabling & Training Information**

### **Track Surface**

6 furlong dirt oval, with 4 ½ chute

6% slope in turns

Width: 60 feet

### **TRAINING HOURS (MONDAY THRU SATURDAY)**

#### **MAIN TRACK**

Winter Months: 6:30-8:30am; 9:00-11:00am  
Spring/Summer: 6:00-8:00am; 8:30-10:30am

#### **TRAINING/PONY TRACK**

Winter Months: 7:30-11:00am  
Spring/Summer: 7:00-10:30am

#### **\*STARTING GATE HOURS**

Winter months: 9:00am to 11:00am  
Spring/Summer: 8:30am to 10:30am

\*As they may change from year to year, please check with the racing office for the exact dates of winter and summer training hours.

### **HORSEMEN HOSPITALITY POLICIES**

#### **STABLING**

Trainers shipping horses to HCCTR in advance of stakes (or other races) must make arrangements with the racing office for stabling. Trainers will receive their assigned stall(s) upon arrival.

#### **BOX SEATS**

Boxes containing six (6) seats are available for annual or quarterly purchase pending availability. The cost per box is \$1,500 for annual use and \$375 for quarterly use. Boxes not sold will be made available to the general public at a cost of:

- \$50 for event nights (Charles Town Classic, Race for the Ribbon & West Virginia Breeders' Classics nights); and
- \$20 for all other racing programs

For quarterly or annual box seat information, please contact Susan Graham at (304) 724-4206

#### **DINING**

For event days, space will be set aside for horsemen running in stakes that night. We will accommodate as many horsemen as possible, but the space will be taken on a first-come, first-serve basis. Horsemen should call the Skyline Terrace at (304) 724-4244 for reservations.



## PART 1 - PERSONS ENTERING THE GROUNDS

- 1.1 Horsemen must present their permit and ID upon entering the stable area and when requested to do so by a HCCTR Security officer.
- 1.2 All Racing Participants must have a valid West Virginia Racing Commission occupational permit and HCCTR issued photo ID badge in their possession at all times and provide them for inspection when requested to do so by a HCCTR Security officer.
- 1.3 For individuals coming from another racing property or jurisdiction that do not have both a current West Virginia Racing License and HCCTR Badge, the following policy will apply:

At the main stable entrance, they are to present and surrender a valid & current government issued photo ID, such as a driver's license or racing license from another jurisdiction in exchange for a wristband. The wristband will give them access to their horse, the receiving barn and the track kitchen. They are to ensure that they have obtained a racing license and HCCTR Badge prior to their horse's race in order to enter the paddock. The wrist band will be removed by the Badging office personnel only. Following receipt of their license and HCCTR badge, the individual may go to the stable gate and retrieve their identification. Anyone choosing to leave property without obtaining a racing license will have their identification returned and their wristband removed. Should they return to the barn area, the process of presenting and surrendering a valid & current government issued photo ID in exchange for a wristband will be repeated.

- 1.4 Commercial Horse Carriers are to present and surrender to the Security Officer, a valid & current government issued photo ID, such as a driver's license or racing license from another jurisdiction in exchange for a wristband. Upon dropping off or picking up horses, they can retrieve their identification upon exiting the barn area.
- 1.5 Upon arriving, all people must enter and subsequently depart HCCTR property, including the stable area, through an authorized and manned security access point. Arriving onto HCCTR property through any unmanned horse crossing or gate is prohibited. Any person found in violation of this will be subject to:
  - a one (1) month ejection from the grounds for a first offense;
  - a six (6) month ejection from the grounds for a second offense;
  - an indefinite ejection from the grounds for a third offense.
- 1.6 Minors must be accompanied by an adult occupational permit holder while in the stable area and under their supervision at all times. Badge holders supervising minors must see the HCCTR badging office to have the names of such minors stickered to their badge prior to the minors coming on property. Parents or Guardians accept all responsibility and liability for minors on the grounds at all times. Minors may not enter restricted areas on their own.

- 1.7 Minors are not allowed on the gaming floor at any time.
- 1.8 Minors are allowed on the racing side of the facility one (1) hour prior to scheduled post time, up until thirty (30) minutes following the completion of the final live race.

## **PART 2 - HORSES ENTERING THE GROUNDS**

- 2.1 Proof of a valid Coggins Test issued within the last year must be presented for all horses entering HCCTR grounds. A valid Health Certificate issued within the last thirty (30) days must be presented for all horses having crossed state lines in their travel to HCCTR and all two-year-old horses entering HCCTR grounds. Coggins or health certificates held on file in the racing office will not afford a horse gate access.
- 2.2 Any horse being tattoo checked upon entering the grounds, checking in at the receiving barn or walking through the training gate must be accompanied by someone who will flip the horse's lip for the tattoo check. HCCTR security will not be responsible for flipping lips.
- 2.3 All "Train & Go" horses will receive a stall assignment prior to entering the barn area and are required to leave HCCTR grounds no later than 12:00 noon.
- 2.4 Only horses shipping into the receiving barn will be permitted to trailer onto HCCTR grounds during training. Horses may trailer onto HCCTR grounds during the training break.
- 2.5 All "Race & Go" horses must report to the Receiving Barn only and check in with Security for stall assignment. All horses must leave 1 hour after the last race is complete, unless permission is received from the Racing Secretary or his or her designee. Horses leaving the following day after receiving permission must be off HCCTR property by 7:00 a.m., unless permission is received from the Racing Secretary or his or her designee. Horses not leaving the grounds in a timely fashion will subject their trainers to the following:
  - First offense in any six (6) month period: removal of the horse(s) from the grounds within forty-eight (48) hours.
  - Second offense in any six (6) month period: removal of the horse(s) from the grounds within forty-eight (48) hours and ejection of the trainer from the HCCTR grounds for a period of three (3) months.
  - Third offense in any six (6) month period: removal of the horse(s) from the grounds within forty-eight (48) hours and ejection of the trainer from HCCTR grounds for a period of one (1) year.
- 2.6 All "Ship Ins" (non Train & Go and Race &Go horses remaining on HCCTR grounds) must have a Permission slip (in slip) to enter HCCTR grounds. No Exceptions. This includes horses racing and subsequently staying overnight. All In-Slips must be obtained

from the racing office during racing office hours, or under special circumstances with approval of the Racing Secretary or his or her designee. The racing office will not be responsible for taking in-slips to the main stable gate.

- 2.7 Anyone having forged or altered an in-slip, knowingly, recklessly or intentionally bringing in or taking out a horse using a different name other than what is on the in-slip or sign out sheet or knowingly, recklessly or intentionally using an in-slip for a horse other than the one written on the approved in-slip will be subjected to the following:
- First offense: Ejection from HCCTR grounds for a period of six (6) months.
  - Second offense: Indefinite ejection from HCCTR grounds.
- 2.8 Horses shipping a distance that requires a stay of one (1) or more nights must contact the racing office as to the availability of extended stay stalls. Trainers staying in these extended stay stalls must also:
- Provide all of their own straw, tack and stall supplies following the initial day of their arrival;
  - Ensure all trash and equipment is removed from the stall prior to their departure.
- 2.9 Goats or other hooved animals used to assist a horse in the stall must provide a Health Certificate when entering the barn area and are not allowed to roam freely in the shed row or stable area. Goats or other hooved animals leaving and subsequently returning to the barn area, must present a new Health Certificate.
- 2.10 The following policies and procedures apply to unnamed horses and horses without tattoos entering the HCCTR grounds:
- 2.10.1 No yearlings are allowed on HCCTR grounds.
- 2.10.2 Two-year-olds are not allowed on the grounds prior to the date indicated on the most current HCCTR Stall License Agreement of their two-year-old year.
- 2.10.3 All horses age four-years-old and upward must be named and tattooed or they will not be permitted on HCCTR property.
- 2.10.4 Unnamed or untattooed two-year-old or three-year-old horses that are attempting to enter HCCTR grounds are subject to the following restrictions:
- 2.10.4.1 The name of the dam and the year the horse was foaled **MUST** be on the in-slip;
  - 2.10.4.2 The horse **MUST** be named and tattooed no later than fifteen (15) days after the horse was allowed on the grounds;
  - 2.10.4.3 It is the trainer's responsibility to bring the foal papers (with name and tattoo number) to the racing office within this fifteen (15) day timeframe; and

- 2.10.4.4 Should the trainer fail to name and/or tattoo the horse within fifteen (15) days, the horse must immediately leave the grounds and the trainer will forfeit one (1) stall allocated to him/her.
- 2.10.5 The Racing Office will be tracking the unnamed/untattooed horses entering HCCTR grounds. The Racing Office may flip lips during the random horse inspections to verify horses' identities. Anyone found putting false information on in-slips or any other documentation will be subject to actions as set forth in the Local Guide, including a full revocation of stalls or an association ejection should the conduct warrant it.
- 2.11 HCCTR reserves the right to ban horses from the grounds under the following conditions and may apply any mitigating or aggravating factors as it deems appropriate:
- 2.11.1 When a trainer suspended more than ninety (90) days regardless of jurisdiction: Any horse HCCTR can reasonably determine has competed in its most recent start, regardless of jurisdiction, in the name of the trainer issued the suspension without being claimed since having last raced or without having been transferred or sold prior to the ejection shall be barred from track grounds for thirty (30) days following the date of suspension. The date of suspension is deemed to be the date in which the Board of Stewards or analogous body renders its ruling. At the expiration of the period of time the horses are barred from the grounds, evidence must be provided to HCCTR's satisfaction of an arm's length transfer to another trainer before the horse(s) will be permitted to enter track grounds.
- 2.11.2 Trainers either ejected from HCCTR grounds or suspended by the Board of Stewards for any duration that HCCTR in its sole discretion believes (1) to be utilizing a program trainer or has previously utilized a program trainer or (2) is being utilized as a program trainer: Any horse HCCTR can reasonably determine has competed in its most recent start, regardless of jurisdiction, in the name of the ejected/suspended trainer or individual being utilized as a program without being claimed since having last raced or without having been transferred or sold prior to any suspension/ejection shall be barred from track grounds for no fewer than forty-five (45) days. At the expiration of the period of time the horses are barred from the grounds, evidence must be provided to HCCTR's satisfaction of an arm's length transfer to another trainer before the horse(s) will be permitted to enter track grounds.
- 2.11.3 Trainers of horses adjudged by the Board of Stewards or analogous body, regardless of jurisdiction, to have tested positive for the presence of a drug carrying a Category "A" penalty as per the ARCI Model Rules and penalty guidelines: Any horse HCCTR can reasonably determine has competed in its most recent start, regardless of jurisdiction, in the name of the trainer having their horse test positive without being claimed since having last raced or without having been transferred or sold prior to the ejection shall be barred from track grounds for a period of no less than sixty (60) days. At the expiration of the sixty (60) day period, evidence must be provided to HCCTR's satisfaction of an arm's

length transfer to another trainer before the horse(s) will be permitted to enter track grounds.

### **PART 3 - TRAINING RACETRACK GATE**

- 3.1 All persons / horses entering the Training Racetrack Gate must comply with the following policies.
  - 3.1.1 All horses using the training gate must be pre-registered with the racing office on this sign-up sheet prior to using the training gate. All Trainers pre-registering horses must present a valid Coggins issued within the last year when doing so. All out-of-state horses pre-registering, must also present a valid Health Certificate issued within that last thirty (30) days. All sign-ups for the upcoming week must be done prior to the horses leaving the paddock for the next to last race of the racing week (e.g., the 7th race on a Saturday night). All registered horses stay on the registration list indefinitely, unless (1) their Coggins expires, at which point they must provide proof of a new valid Coggins, and re-register by the deadline; or (2) a trainer change takes place. All Train and Go's must leave back through the Training Racetrack Gate following training.
  - 3.1.2 Everyone must possess both their valid West Virginia Racing Commission Occupational Permit and HCCTR picture ID badge.
  - 3.1.3 All horses entering and exiting through the Training Racetrack Gate will have their tattoos verified. It is the responsibility of the Handler/Trainer/Rider to flip the horse's lip for Security. If unable to verify tattoo, the horse will be denied entry.
  - 3.1.4 Race and Go's are not allowed to enter by way of the Training Racetrack Gate other than during training hours on the day they are entered to race. They must enter through the Charles Town Gate and report to the Receiving Barn for check in and tattoo verification.
  - 3.1.5 Exercising of horses is NOT permitted in the stable area, fields or any other open areas.
  - 3.1.6 No horses are allowed on the training Racetrack until an Outrider is on duty.
- 3.2 Hours of operation for the Training Racetrack Gate will be 7:00am to 10:30am in the summer months and 7:30am to 11:00am in the winter months unless otherwise posted.

### **PART 4 - VEHICLES**

- 4.1 Any vehicle operated on the grounds or in the stable area must be properly registered and insured. No person shall operate any vehicle without a valid driver's license.

- 4.2 Any vehicle entering HCCTR grounds will be subject to search.
- 4.3 Motorcycles, bicycles, campers, house trailers, mobile homes and ATV's shall not be permitted in the stable area at any time. Bicycles may only be parked at the entrance gate or horsemen's lot at the owner's risk.
- 4.4 No vehicles of any type will be driven on horse paths except by racetrack maintenance, and veterinarians and blacksmiths must have a valid West Virginia Racing Commission Occupational Permit and a valid HCCTR issued picture badge.
- 4.5 Vehicles may not be operated in the barn area during training times except by:
- Trainers allocated stalls in the HCCTR barn area\*
  - Veterinarians licensed by the WVRC and holding a valid HCCTR badge
  - Blacksmiths licensed by the WVRC and holding a valid HCCTR badge
  - HCCTR Racing Personnel
  - HCCTR Security Personnel
  - Horses shipping in to train or race
  - Commercial equine transportation vans carrying horses remaining on HCCTR property
  - WVRC employed personnel
- \* Trainers or assistant trainers that are registered as secondary caretakers for a trainer allocated stalls must see the racing office. In that instance, the ability to drive may be granted on a case by case basis (e.g. if a secondary caretaker has oversight over a trainer's split string division stabled at HCCTR)
- 4.6 No morning vendor deliveries will be permitted until training has been completed for the day.
- 4.7 Horses may not be shipped onto HCCTR grounds during training hours except those shipping into the receiving barn. Horses will be permitted to trailer in during the training break.
- 4.8 Vehicles driven in the stable area shall not exceed ten (10) miles per hour and must stop at all horse crossing intersections and stop signs. No vehicle may be operated in a reckless manner at any time.
- 4.9 All vehicles exiting the barn area through the main stable gate must stop at the mechanical arm before proceeding.
- 4.10 No parking in restricted areas or working on vehicles in the stable area. Vehicles found parked outside designated parking areas will be ticketed and may be towed at the owner's expense.
- 4.11 At all times, horse trailers must be parked in designated areas along Barns 13, 17 & 21. They may be unhooked at that location only. Trailers must remain hooked in all other areas.

- 4.12 The following actions apply against any individual cited in violation of vehicle rules 4.1 through 4.11 in a twenty-four (24) month period:
- a written warning following the first offense.
  - A one (1) month suspension of the person's driving privileges following the second offense.
  - An indefinite suspension of the person's driving privileges in the barn area following the third offense.
  - An ejection from HCCTR property of no shorter than one (1) year and a loss of any stalls allocated to the individual.
- 4.13 Security reserves the right to tow any vehicles not in compliance with these rules at the owner's expense.
- 4.14 The following vehicle policies, with respect to trailers and trailer parking, apply:
- 4.14.1 All owners parking vehicles/trailers on HCCTR/Racetrack property must show proof that the vehicle/trailer is legally registered with the DMV/MVA and properly insured.
- 4.14.2 Those parking trailers on HCCTR grounds do so at their own risk.
- 4.14.3 All trailers must have valid license plates attached to them.
- 4.14.4 A parking permit sticker will be issued to all trailers meeting the above requirements.
- 4.14.5 The sticker will be placed on the outside of the trailer in the front side facing the tow hitch.
- 4.14.6 No parking permits will be issued for any type of camper, RV or mobile home.
- 4.14.7 All permits are issued at the discretion of HCCTR/Racetrack and may be revoked at any time.
- 4.14.8 Trailers may not obstruct any path for moving vehicles.
- 4.14.9 Trailers may not be parked between barns.
- 4.14.10 No trailers can be used to dump garbage, debris or other materials.
- 4.14.11 Trailers may not remain in the barn area overnight, but are permitted in the designated parking area across Racetrack Road for a period of no longer than 48 hours, unless permission is received from HCCTR Security.
- 4.14.12 Anyone found violating this policy will be towed.

## **PART 5 - PROHIBITED ITEMS**

- 5.1 No propane/gas, charcoal or electric grills permitted in the stable areas.
- 5.2 No extension cords are permitted to be used in the stables, tack rooms and/or shed rows. All permitted devices must be plugged in directly to an outlet.
- 5.3 No heating or cooking devices of any kind are permitted in the stables, shed rows and/or tack rooms. Heating and cooking devices include but are not limited to heat lamps; immersion heaters; microwaves; toaster ovens, hot plates, space heaters and coffee makers.
- 5.4 No flammable liquids or materials are to be stored in stables, shed rows, and/or tack rooms or stored in one's vehicle for non-emergency purposes.
- 5.5 Dogs will not be permitted in the stable areas at any time.
- 5.6 No firearms, explosive materials, fireworks, ammunition, and/or any other type of weapons are permitted in the stable area at any time.
- 5.7 Only desks, chairs, filers and other simple office equipment are allowed in tack rooms. Any questions on the permissibility of items should be brought to HCCTR Security.
- 5.8 Only square bales of hay are permitted. Round bales are not allowed.
- 5.9 Signs or displays that advertise goods or services are strictly prohibited and considered to be violations of Racetrack's/HCCTR' no-solicitation policy.

## **PART 6 - PROHIBITED ACTIVITIES**

- 6.1 The use, distribution or possession of alcohol or illegal drugs is not permitted at any time on grounds of HCCTR. For the purposes of this rule, an illegal drug is any drug which is not legally obtainable or one which is legally obtainable but has not been legally obtained, including prescription drugs not legally obtained and prescription drugs that were prescribed for someone else.
- 6.2 The use of the tack rooms is limited to storing equipment for horses or and simple office use only. Overnight human habitation in the stables and/or tack rooms is strictly prohibited.
- 6.3 Televisions may not be plugged into existing HCCTR cable outlets.
- 6.4 Any excessive noise in the stable areas is prohibited.
- 6.5 Other than in cases of medical emergency, cell phone use, other than hands-free use or use involving Bluetooth or a like hands-free device, is not permitted by people mounted



on horses. The following actions will be imposed on those violating these cell phone usage rules:

- a written warning following a first offense in any one (1) year period
- a loss of privileges to be on horseback for any purpose for fifteen (15) days following a second offense in any one (1) year period
- a six (6) month ejection from the grounds following a second offense in any one (1) year period

6.6 Other than HCCTR employees, there is no smoking in the barn area or on the track other than in an individual's enclosed vehicle (this includes electronic cigarettes). The following actions will be imposed on those violating the smoking rules:

- a fifteen (15) day ejection from the grounds following a first offense in any three (3) year period
- a six (6) month ejection from the grounds following a second offense in any three (3) year period
- an indefinite ejection from the grounds following a third offense in any three (3) year period

## **PART 7 - FANS & ELECTRICAL CORDS**

- 7.1 All fans must be approved by HCCTR maintenance before their installation and use.
- 7.2 All fans must be UL approved with a 3-prong style grounded cord.
- 7.3 No extension cords, including electrical fan cords or remanufactured cords are permitted. All fans must be plugged directly into the outlet with their original cord.
- 7.4 Electrical fans must have a cage or screen type cover over the fan blades. Box fans are not permitted.
- 7.5 The use of electrical taps on outlets is strictly prohibited.
- 7.6 All fans must be securely mounted in a location approved by HCCTR maintenance and out of the physical reach of all horses.
- 7.7 All fans must be kept in working order with no loose parts.
- 7.8 Any unsafe fans or cords will be collected and disposed of.
- 7.9 Fans must be covered between December 1<sup>st</sup> and March 1<sup>st</sup> of the following year unless otherwise directed by HCCTR.

- 7.10 If unapproved fans are found, or anyone is found in violation of these rules, it will result in the required removal of the fans, with the actions and timelines outlined in the “Barn & Horses Inspections” section applicable.

## **PART 8 - BARN MAINTENANCE & POLICIES**

- 8.1 Tack rooms are subject to search at random or during safety inspections.
- 8.2 All straw and manure is to be placed in the manure dumpsters only. Do not place other trash items in manure dumpsters.
- 8.3 Anyone dumping manure in a manure dumpster must push it to the highest, rear point in the dumpster.
- 8.4 Areas around the dumpsters are to be cleaned and maintained by stable/stall assignees.
- 8.5 Trash dumpsters are to be used for trash from the stables only. No person shall bring any off-site trash for disposal in the dumpsters.
- 8.6 Trainers will be held responsible for manure dumpster and trash violations committed by their help.
- 8.7 Any trainer (including the trainer’s help) cited for violating the aforementioned trash and manure rules will be subject to:
- The loss of one (1) stall for a first offense in a twelve (12) month period;
  - The loss of five (5) stalls for a second offense in a twelve (12) month period; and
  - The loss of all stalls for a third offense in a twelve (12) month period.
- 8.8 Vendor deliveries of hay, straw, feed, etc. shall not be delivered until after noon and only up until one (1) hour before post time for the first race. Vendors are responsible for cleaning areas before they leave. Management reserves the right to bar materials it determines to be unsuitable and request the vendor present equipment that will be used to clean for inspection.
- 8.9 Trainers must use all reasonable efforts to ensure they are done cleaning stalls by noon.
- 8.10 Dumping of water and/or ice into stalls or between barns is strictly prohibited.
- 8.11 Prior to leaving property, those assigned stalls in the receiving barn must ensure all trash, debris and other equipment is removed from the stalls they were assigned.
- 8.12 All water faucets must be turned off after use and hoses disconnected.
- 8.13 Electric wiring is not to be altered by any additional temporary plugs or wire.
- 8.14 Light bulbs of more than 100 watts are not allowed.

- 8.15 Mechanical hot walkers are not permitted.
- 8.16 Exercising of horses is NOT permitted in the stable area, fields or any other open areas.
- 8.17 Shed rowing is not permitted. People are strictly forbidden to be mounted on a horse that makes one full rotation of the barn area while in the shed row.
- 8.18 All individuals on HCCTR grounds must cooperate with Security. Any violation of the West Virginia Thoroughbred Horse Racing Statute and/or Rules and Regulations of the WV Racing Commission will not be tolerated and will be reported to the Board of Stewards.

## **PART 9 - BARN & HORSE INSPECTIONS**

- 9.1 HCCTR expects trainers allocated stalls to ensure their allocated stalls and area are kept clean, safe and in a professional manner. As needed, HCCTR will conduct barn inspections to ensure trainers are complying with this requirement. Anyone found in violation will be given one week, or another time period as indicated by HCCTR, to correct any problem that is found. Any problem not corrected in this time period will result in the loss of one stall. Should the problem not be corrected within one additional week, the trainer may lose all stalls allocated to them.
- 9.2 Unless written permission is granted by the Racing Secretary or his or her designee, HCCTR requires the registered trainer for horses occupying stalls in the barn area to be the same person allocated the stall where the horse is stabled. HCCTR will also conduct announced and unannounced tattoo checks of horses in stalls. At any point, a trainer found with a horse other than their own in any one of their stalls without prior written permission of the Racing Secretary will lose each stall where such a horse is found. A trainer whose horse is found in a stall not allocated to them will also lose one (1) stall for each such horse found. Any trainer subject to discipline for this infraction twice in any twelve (12) month period shall lose all stalls allocated.
- 9.3 Should a horse be transferred between two trainers having stalls, a trainer transfer must be completed no later than one (1) live racing day following the transfer, or both trainers involved will be considered out of compliance with Section 9.2 above.
- 9.4 Any horse, excluding two-year olds, found occupying a stall that shows no starts or entries at Charles Town in the previous four month and no workouts in the previous three months, and has been on our grounds over that period, will subject the trainer allocated those stalls to the following:
- First Offense in any six (6) month period: Written warning
  - Second Offense (and all subsequent offenses) in any six (6) month period: Loss of one stall per inactive horse

## **PART 10 - TRAINING & SCHOOLING RULES**

- 10.1 Helmets and vests must be worn at all times by people mounted on horses.
- 10.2 The following activities are strictly prohibited during training:
  - 10.2.1 Galloping backwards;
  - 10.2.2 Galloping on the rail;
  - 10.2.3 Backing up past the half-mile pole; and
  - 10.2.4 Jogging or galloping on the ramp or horse paths.
- 10.3 Entrance gates to the training Racetracks will close ten (10) minutes prior to the Racetrack's closing for break or for the day.
- 10.4 Paddock schooling may only take place during the morning training hours or during the races at night. All trainers wishing to school a horse at night must notify and get permission from the Paddock Judge prior to schooling.

## **PART 11 - PADDOCK ACCESS**

- 11.1 HCCTR recognizes the paddock as a restricted and working area.
- 11.2 All persons entering the paddock must be in possession of their license and HCCTR badge and may be asked to present it on request. Anyone not in possession of the proper identification items will be denied entrance.
- 11.3 With the exception of certain special events, horsemen remaining in the paddock for a race must stand either by their stall or behind the owner's railing. Anyone not doing so will be asked to comply or be removed from the paddock.
- 11.4 Children are not allowed in the paddock at any time. People wishing to get back to the barn area with children must walk through the building and racing office to one of the stable gates.
- 11.5 Absolutely no food and drink is allowed in the saddling area of the paddock during racing hours. All people will be required to discard their food and drink items prior to entering the saddling area of the paddock.
- 11.6 Other than HCCTR personnel, cell phone use is not permitted in the saddling area of the paddock.

## **PART 12 - SPECIAL EVENT PADDOCK ACCESS**

- 12.1 The following events will have separate paddock access policies:

- Charles Town Classic day
- 12.2 For certain stakes on these days, access stickers or wristbands will be required to enter the paddock for specific races. All access stickers and wristbands will be distributed through the racing office.
- 12.3 Due to space constraints, each entrant will be allowed six (6) paddock access stickers for their race unless otherwise authorized by the racing office. In certain circumstances, people will be given all-access stickers covering multiple races.

### **PART 13 - DIVISION OF PURSES**

Excluding stakes races or races otherwise designated, the \$100 paid to horses finishing 7th thru last will be paid out of the published purse, with the remaining monies distributed according to the chart below.

<u>1st</u>	<u>2nd</u>	<u>3rd</u>	<u>4th</u>	<u>5th</u>	<u>6th</u>	<u>7th</u>	<u>8th</u>	<u>9th</u>	<u>10th</u>
60%	20%	10%	5%	3%	2%	\$100	\$100	\$100	\$100

### **PART 14 - RACING OFFICE HOURS**

- 14.1 The following will be considered normal business hours of the racing office when racing a four day a week schedule :

Monday: Closed  
 Tuesday: 9:00am to 1:00pm  
 Wednesday: 9:00am to 1:00pm; 6:15pm to 11:00pm  
 Thursday: 9:00am to 12:00am; 6:15pm to 11:00pm  
 Friday: 9:00am to 1:00pm; 6:15pm to 11:00pm  
 Saturday: 9:00am to 1:00pm; 6:15pm to 11:00pm  
 Sunday: Closed

### **PART 15 - CLOSING OF ENTRIES**

- 15.1 Unless otherwise indicated in the condition book, or on the overnight, entries are taken on the following days when racing three days per week:

Entry Day:	Tuesday	Wednesday	Saturday
Race Day:	Friday	Saturday	Thursday

- 15.2 Unless otherwise indicated in the condition book, or on the overnight, entries are taken on the following days when racing four days per week:

Entry Day:	Tuesday	Wednesday	Friday	Saturday
Race Day:	Friday	Saturday	Wednesday	Thursday

- 15.3 Races announced as “off” by the Racing Secretary means the race will not be carded for that race day regardless of entries that may come in after it’s called off.

### **PART 16 - DRAW-IN DEADLINE**

- 16.1 The deadline for drawing in off the alternates list is 10:30 AM on race day.

### **PART 17 - RACING OFFICE POLICIES**

- 17.1 Horses not entitled to the first weight allowance in a race shall not be entitled to any subsequent allowances.
- 17.2 Horses not incurring the first weight penalty in a race shall not be forced to incur any subsequent penalties.
- 17.3 Winners or non-winners of a stated sum means a winner or non-winner of a single race of that value to the winner.
- 17.4 Owners and trainers must claim allowances and penalties at the time of entry and are solely responsible if a horse starts with or is disqualified due to the incorrect weight.
- 17.5 Owners and trainers are solely responsible for their horse’s eligibility in races where they enter.
- 17.6 Other than horses occupying designated pony stalls, the following horses will not be permitted on HCCTR grounds or have their entries accepted:
- Horses which have not finished first, second, third or fourth in seven (7) consecutive starts since finishing off the board for the Minimum Claiming Price. The “Minimum Claiming Price” shall be determined by HCCTR in its sole and non-reviewable discretion.
  - Maidens which have not finished first, second, third or fourth in seven consecutive starts since finishing off the board for the minimum claiming price.
  - First time starters (6) years old or older
- 17.7 No horse (13) thirteen years old or older will be allowed to start.
- 17.8 HCCTR reserves the right to refuse any entry.
- 17.9 HCCTR will not be responsible for any injury to horses or Racing Participants at any time on any part of the premises.
- 17.10 Owners and trainers are advised that unless any delinquent accounts with the horsemen’s bookkeeper are brought current, their foal papers will not be released.
- 17.11 In all West Virginia accredited or preferred races, any horse entered must be accompanied by an original or faxed copy of the West Virginia Certificate of Registration

issued by the West Virginia Thoroughbred Breeders' Association. The original copy of the West Virginia Certificate of Registration must be on file and presented to the racing office no later than post time for the first race on the day the horse is to race.

- 17.12 The Cornell Collar may not be used at HCCTR at this time.
- 17.13 No horse will be allowed to jog, trot, cantor or gallop on the main Racetrack or training Racetrack without a saddle.

## **PART 18 - PREFERENCE & PREFERENCE DATE SYSTEM**

- 18.1 Hollywood Casino at Charles Town Races utilizes a preference date system to determine a horse's preference in overnight races which overfill. Ten horses make up the body of each race with up to four alternates per race. All remaining horses are excluded. Alternates given the opportunity to run shall draw into the body of a race in order of preference.
- 18.2 Preference dates shall not supersede the conditions of a race (i.e. preference to high weight, preference to highest earnings, etc.).
- 18.3 There are three possible dates a horse may receive: an Entry (E-Date), Run (R-Date), or a Z-Date. The number(s) following the E, R, or Z correspond with the date in which the E, R, or Z date was established.
- 18.4 A horse without a date may establish an E-Date by:
- Signing in a horse's foal papers with the Racing Office.
  - Entering a horse in any overnight race (including extras) where the horse is excluded from the body of the race or the race is not used. The E-Date is awarded after the overnight is final.
  - A horse with an expired date or no preference date who is transferred (via a trainer transfer form) to a new trainer while papers are on file in the Racing Office.
- 18.5 An R-Date is established when a horse starts in an overnight race. An R-Date replaces an established E-Date or Z-Date.
- 18.6 A Z-Date may be awarded by the Racing Secretary when there are extenuating circumstances including, but not limited to, cancellations of live racing and clerical errors that adversely impact the horse in question.
- 18.7 A horse's date will be lost if one of the following occurs:
- The horse is scratched from the body of the race. Horses on the alternate list will not lose their date unless they agree to run and then scratch after scratch time.
  - The horse's foal papers are signed out of the Racing Office. If a horse's foal papers are signed out of the Racing Office with just cause (i.e. tattoo, corrections,

etc.), as determined by the Racing Secretary, the horse will keep their established date.

- The horse starts at a racetrack other than Hollywood Casino at Charles Town Races after the established date at Charles Town.
- The most recent date established is older than 180 days.

18.8 The Racing Secretary reserves the right to allow a horse to keep a previous date, or establish an E-Date if no previous date was established, which may have otherwise been lost under extenuating circumstances (i.e. No rider available leading to a scratch, etc.).

18.9 The following determines a horse's preference based on their established date:

- Z-Dates have preference over all E-Dates and R-Dates.
- Preference is given to those horses with the oldest date at the time of closing of entries.
- E-Dates have preference over R-Dates of the same day.
- If two or more horses have identical dates they will be chosen by lot.
- Horses with no date but with papers on file in the Racing Office will receive preference over horses with no date and no papers on file.
- Second preference horses have no preference over horses of a single entry.
- In-Today horses receive no preference except over a second preference horse..

18.10 Dates will not be affected or altered for horses entered into stakes (this does not include overnight stakes or overnight handicaps).

## **PART 19 - HORSES SHIPPING IN TO RACE**

19.1 Proof of a valid Coggins Test issued within the last year must be presented for all horses entering HCCTR grounds. A valid Health Certificate issued within the previous thirty (3) days must be presented for all horses having crossed state lines in their travel to HCCTR and all two-year-old horses entering HCCTR grounds.

19.2 All horses remaining on the grounds must have an In-Slip as per Rule 2.7 above.

19.3 Horses shipping in to race are asked to be on the grounds five (5) hours prior to the scheduled post time for their race if they are receiving Lasix. If they are not receiving Lasix, they are asked to be in the receiving barn no later than four (4) hours prior to the scheduled post time for their race.

## **PART 20 - NSAID & MEDICATION RULES**

- In accordance with the West Virginia Thoroughbred Racing Rules, the use of one (1) of three (3) approved NSAID's shall be permitted under the conditions proscribed by the West Virginia Thoroughbred Racing Rules and shall not exceed the following serum or plasma threshold concentrations: Phenylbutazone (or its metabolite oxyphenylbutazone) – 2 micrograms per milliliter;



- Flunixin – 20 nanograms per milliliter;
- Ketoprofen – 2 nanograms per milliliter.

- 20.1 Please visit [tracing.wv.gov](http://tracing.wv.gov) for detailed information on medication rules contained in the West Virginia Thoroughbred Rules of Racing, including the listing of rules governing NSAID use that can be found in Rule 49.6.
- 20.2 Any graded or listed stakes race at HCCTR will be subject to the medication and testing rules mandated by the America Graded Stakes Committee for graded stakes.

### **PART 21 - LASIX RULES**

- 21.1 Any horse that is treated with furosemide (lasix) must be treated on HCCTR grounds. All treatments will be not later than four (4) hours prior to post time.
- 21.2 The fee for lasix treatments will be collected by the horsemen's bookkeeper.
- 21.3 No trainer may remove a horse from or add a horse to the lasix list without prior approval of the state veterinarian.
- 21.4 For a complete list of the West Virginia Thoroughbred Rules of Racing that govern the administration of lasix and all other medication rules, please visit

### **PART 22 - SHOE POLICY**

- 22.1 Horses competing at HCCTR and all other Penn National Gaming properties will not be permitted to use toe grabs in excess of four (4) millimeters in height. For outer rim shoes, the four (4) millimeter measurement begins at the top of the outer rim (e.g., the toe grab may not rise more than four (4) millimeters over the top of the rim).
- 22.2 The use of bends, jar caulks, stickers or any other traction device on front shoes for racing or training will be prohibited.
- 22.3 The following is a list of shoes that fit the criteria of permitted shoes: Queens Plate, Queens Plate XT, Outer Rim Shoe, Kings Plate XT, Training Plate, Fast Break, Speed Toe and Bar Shoe.
- 22.4 Any horse arriving to the paddock to race and found to be wearing illegal shoes will be given the option of scratching or running without front shoes.

### **PART 23 - SAFETY VESTS**

- 23.1 All persons mounted on a horse or stable pony, all assistant starters and anyone handling horses in the starting gate are required to comply with Rule 24.13.b of the West Virginia Thoroughbred Rules of Racing, which reads:

*Any person mounted on a horse or stable pony on the association racing surface, all assistant starters and anyone handling a horse in a starting gate must wear a safety vest at all times. The safety vest must comply with or exceed one of the following minimum standards: British Equestrian Trade Association (BETA): 2000 Level 1; Euro Norm (EN) 13158: 2000 Level 1; American Society for Testing and Materials (ASTM) F2681-08; Shoe and Allied Trade Research Association (SATRA) Jockey Vest Document M6 Issue 3; or, Australian Racing Board (ARB) Standard 1.1998.*

## **PART 24 - SAFETY HELMETS**

- 24.1 All permit holders mounted on a horse or stable pony must comply with Rule 24.13.a of the West Virginia Thoroughbred Rules of Racing, which reads:
- 24.2 *Any permit holder mounted on a horse or stable pony on association grounds must wear a properly secured safety helmet at all times. The permit holder is responsible for providing sufficient evidence that his/her helmet meets or exceeds one of the following safety standards: American Society for Testing and Materials (ASTM 1163); UK Standards (EN-1384 and PAS-015); or, Australian/New Zealand Standard (AS/NZ 3838).*

## **PART 25 - DRUG CONTROL**

- 25.1 Without limitation, except as required by state law, HCCTR reserves the right, in its sole and absolute discretion, and without notice or cause, to require a blood and/or urine sample from any horse entered to race at HCCTR for the purpose of having the blood and/or urine sample tested under West Virginia approved protocols for any illegal drug or substance.