PENN NATIONAL GAMING, INC.

RACING GUIDE

2012

PENN NATIONAL GAMING, INC.

HORSE RACING GUIDE

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CONDUCT GUIDE

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THE LOCAL RACING GUIDE FOR HOLLYWOOD CASINO AT CHARLES TOWN RACES FOLLOWS THIS RACING GUIDE

INTRODUCTION

The reputation and integrity of Penn National Gaming, Inc. ("PNGI") and each subsidiary racetrack including Hollywood Casino at Charles Town Races (collectively, "the Racetrack") are valuable assets that are vital to PNGI's success and the success of its racetracks. As members of the pari-mutuel wagering and gaming communities, it is necessary for all of us to adhere to the highest standards of integrity, to ensure positive public perception and confidence and maintain the licenses and regulatory privileges of PNGI and all of its Racetracks. As a result, the Racetrack requires all personnel associated with the Racetrack and all persons who hold valid and current racing licenses associated with the Racetrack, or are otherwise permitted on Racetrack's privately owned property, including, but not limited to, owners, racing officials, stewards, trainers, trainer's agents, grooms, veterinarians, vendors, pony people, outriders, independent contractors, jockeys, jockeys' agents, drivers, minors, guests, agents, and anyone else with a racing license or permit (herein collectively referred to as "Racing Participant"), to comply with all rules and regulations as well as the highest standard of professional and ethical conduct. A failure to abide by the rules and policies set forth in the Guide may result in the denial of privileges to enter the Hollywood Casino at Charles Town Races' grounds, or a revocation of access to the grounds.

This Racing Guide ("Guide") covers a wide range of practices and procedures designed to foster integrity and honesty among all participants at the Racetrack. It does not cover every issue that may arise, but rather it sets out basic principles for all the individuals covered by this Guide. In order to maintain privileges to enter and conduct business on our Racetrack grounds, all Racing Participants must be familiar with and comply with all policies contained in the Guide as well as all other applicable laws and regulations. In the normal course of our business, the Racetrack will require individuals to complete and sign various forms, and provide other information as required or requested, prior to being granted access or in continuing with racing privileges at the Racetrack or at any other PNGI property.

No guide or code of conduct can replace the thoughtful behavior of someone conducting their business with a high level of integrity. Therefore, dishonest or illegal conduct will constitute a violation of this Guide, regardless of whether the conduct is specifically addressed in the Guide.

While we expect to periodically give notice relative to updates to the Guide and other matters, because of the fast paced and highly regulated nature of our business, the Racetrack reserves the right to alter or amend any and all of its rules and regulations, without notice, at any time, and from time to time, at its sole discretion. It is incumbent upon the Racing Participant to be aware of any changes, updates or modifications to this Guide.

PART 1 – GENERAL

1.1 PERSONAL CONDUCT

All persons covered by the Guide are required to avoid conduct detrimental to the integrity of, and public confidence in, pari-mutuel wagering and gaming. Only those persons who have signed the documents required by the Racetrack shall be allowed on the Racetrack's property.

Guidelines promoting ethical and responsible conduct serve the interests of the Racetrack, participants in the sport and the racing industry as a whole. Illegal or irresponsible conduct does more than simply tarnish the offender. It puts innocent people at risk, damages the reputation of others involved in the business, and it undermines public respect and support for the racing industry.

1.2 STANDARDS OF CONDUCT

While criminal activity is clearly outside the scope of permissible conduct, and persons who engage in criminal activity will be subject to the appropriate actions (legal and otherwise), the standards of conduct for persons permitted to conduct business at the Racetrack are considerably higher. It is not enough to simply avoid being found guilty of a crime. Instead, persons must conduct themselves in a way that is responsible, promotes the high degree of integrity our industry relies on, and is lawful. All persons permitted to conduct business on Racetrack property and to use the grounds must abide by the lawful direction of all Racetrack personnel at all times.

Persons who fail to live up to this standard of conduct are subject to action by the Racetrack. For example, action by the Racetrack may be based on circumstances that include, but are not limited to the conduct described below:

A. Dishonest, Offensive or Illegal Conduct

The following activities are prohibited:

- Criminal offenses of any kind;
- Violent or threatening behavior, whether in or outside Racetrack property;
- Conduct that creates a negative public perception of PNGI, the Racetrack or undermines or puts at risk the integrity and reputation of pari-mutuel wagering and gaming in general;
- Violation of Racetrack safety policies or rules; and
- Misrepresentations in any applications/forms and/or in any disclosures as requested or required by the Racetrack.

B. Medications and Related Issues

The presence of medication(s) in animals in excess of amounts as stated in the applicable rules and regulations of each recognized jurisdiction is prohibited.

In the event a trainer or trainer's agent receives notification of a positive test for excessive medication(s), or a violation of the medication rules in any jurisdiction, the trainer must notify the respective Racetrack Vice President of Racing or Director of Racing as soon as possible. The information to be provided shall include, but not be limited to:

• Date and racetrack location where such violation occurred;

- Name of the animal for which the medication violation occurred;
- Name of medication(s);
- Veterinarian(s) which prescribed and/or administered such medications; and
- Penalty, if any, assessed by the applicable regulatory body, for such medication violation;

Failure to provide timely notification to the proper individuals, as detailed above, shall be considered a violation of this Guide. In either event, Racetrack may impose sanctions against trainer, trainer's agents, owner and/or animal in the event of a single violation or repeated violations.

C. Racing Issues

Any person involved in entering or scratching horses that Racetrack reasonably believes has not exercised good faith will be in violation of the Guide. Examples of this conduct include, but are not limited to:

- Entering a race without the intention of racing;
- Entering a horse into a race or causing a horse to be entered into a race for the benefit of another;
- Frequent scratching of horses entered to race; and
- Acting as a programmed trainer or as an agent/representative for an individual not permitted on Racetrack's property or not properly licensed by the appropriate regulatory body.

Transfer of trainer is permitted only with prior approval of the Racetrack and jurisdictional regulatory body, if required.

D. Illegal Drugs/Alcohol

The use, distribution or possession of illegal drugs is not permitted at any time on grounds of the Racetrack. For the purposes of this rule, an illegal drug is any drug which is not legally obtainable, or one which is legally obtainable but has not been legally obtained, including prescription drugs not legally obtained and prescription drugs that were prescribed for someone else. Alcohol is not permitted within any Racetrack backstretch area, or in any barns or dormitories located on Racetrack property.

E. No Solicitation Policy

There is a no solicitation policy at the Racetrack, which includes, but is not limited to, circulation of petitions, political flyers, or distribution of literature not approved in advance in writing by the Racetrack. Signs identifying stables and trainers and vendors must be approved and in writing by the Racing Secretary's Office or appropriate Racing or Racetrack Grounds Manager.

F. Animal Welfare

Any person covered by this Guide who handles or treats any animal, including any horse or dog, without regard for the well being of the animal or causes physical injury or pain or suffering to the animal, including excessive or unnecessary training or whipping, in the sole discretion of Racetrack, will be subject to penalties up to and including their loss of racing privileges at all PNGI racetracks. In addition, any horseman stabled at the Racetrack who knowingly, or without conducting proper due diligence, sells a horse for slaughter, directly or indirectly, will have his or her stalls revoked and may, in addition, be barred from all of PNGI's racetracks.

G. Treatment of Racetrack Staff/Non-Disparagement

All persons covered by the Guide are required to afford the Racetrack's staff the highest level of professional courtesy and treatment. Racing Participants agree to fully cooperate with the necessary provision of information and any investigation by Racetrack staff. Any verbal or physical mistreatment of, or inappropriate conduct toward, the Racetrack's staff will be viewed as a strict violation of the Standards of Conduct contained in the Guide.

It shall be a violation of Racetrack's standards of conduct for any person covered by the Guide to disparage PNGI or the Racetrack, or any of their affiliates, employees, staff or personnel, in any manner by any type of medium. This includes, but is not limited to, disparagement by use of the internet, e-mail, and via any social media such as blogs, Facebook, etc.

H. Past Conduct and/or Sanctions

Past conduct and/or sanctions having occurred at other PNGI Racetracks, in other jurisdictions or at other facilities may be considered when evaluating the fitness of an individual covered by the Guide to conduct business on the Racetrack's property. Such evaluations and decisions will be at the sole discretion of Racetrack.

1.3 MANDATORY REPORTING GUIDELINE

It is the responsibility of all persons covered by the Guide to comply with all practices contained in this Guide and in addition, all covered individuals are expected to report any violations or suspected violations. All reported violations or suspected violations must be made <u>in writing</u> and reported in a timely manner to the Vice President of Racing or Director of Racing at the Racetrack.

Failure to report a violation, or suspected violation, may itself constitute a violation of this Guide. In addition, there can be no retaliation of any kind against any person for reporting a suspected violation. Any person who feels that they have been retaliated against shall report it as set forth above. Any person who retaliates against someone who has reported a suspected violation in good faith is subject to actions against their Racetrack privileges as described in this Guide.

1.4 ACTING IN GOOD FAITH

Anyone filing a report or complaint concerning a violation or suspected violation of the Guide must be acting in good faith and have reasonable grounds for believing the information disclosed indicates a violation. Any allegations that prove not to be substantiated and which prove to have been made maliciously or knowingly to be false will be viewed as a serious offense and in violation of the principles contained in this Guide.

1.5 RACETRACK AS SOLE ARBITER

Racetrack is the sole and ultimate arbiter in interpreting and enforcing provisions of this Guide and the Local Racing Guide.

1.6 <u>FIRE SAFETY</u>

All Racing Personnel shall familiarize themselves with the location of fire alarms, fire prevention signs and all firefighting equipment.

No person shall move, interfere with, damage or hinder the use of smoke detectors, heat detectors or other fire suppression equipment or signage.

1.7 <u>INSURANCE</u>

Any trainer on behalf of themselves, and their agents and employees, shall at all times maintain comprehensive general liability insurance in the minimum amount of \$1 million per occurrence (except as permitted in the Local Racing Guide) which is intended to reimburse Racetrack, and its directors, officers, employees and agents from any and all liability arising from their actions. The trainer shall, upon request by Racetrack, provide a certificate of insurance and any other documentation Racetrack may reasonably request evidencing that the foregoing insurance is in effect.

The trainer shall provide Racetrack with thirty (30) calendar days notice of cancellation or non-renewal of their comprehensive general liability coverage.

All persons holding a racing license or permit as a trainer must have workmen's compensation insurance as required by the Racetrack. The trainer shall, upon request by Racetrack, provide a workmen's compensation certificate and any other documentation Racetrack may reasonably request evidencing that the foregoing insurance is in effect.

Any vehicle operated on the Racetrack grounds or in the Racetrack stable area must be properly registered with Racetrack and proof of proper insurance will be required upon request. No person shall operate any vehicle without a valid driver's license.

Racetrack reserves the right to require Racing Participants to show proof of appropriate insurance coverage as it deems necessary.

1.8 SURVEILLANCE/RETENTION

Our business is highly regulated and requires significant oversight including security related measures. As a result, we employ extensive surveillance on our property. All individuals entering the grounds at any Racetrack property consent to all surveillance and/or retention measures employed by the Racetrack on its grounds.

The Racetrack may require horses to be placed in a pre-race surveillance program prior to the post of the race in which they are entered. Notice of horses selected for surveillance will be given at a time to be determined by Racetrack. The period of time and location that a horse is kept under surveillance is at the sole discretion of the Racetrack.

Once a trainer or owner is notified that a horse has been selected for surveillance, he/she will cooperate with Racetrack security in documenting particulars of the affected horse(s), including name, tattoo, program number, barn and stall designation.

Access to horses in the surveillance program will be restricted to pre-approved individuals and this access will be monitored and documented by Racetrack security. The trainer of the affected horse is responsible for designation of those individuals who will be allowed access to the horse for feeding and race preparation purposes under the direction of Racetrack Security personnel. The trainer and his/her veterinarian will ensure that any pre-race treatments and/or medications administered to the affected horse are documented and made available to Racetrack security upon request.

Responsibility for each horse in the pre-race surveillance program will remain solely with the horse's trainer. In no event will Racetrack assume responsibility or liability for such horse.

1.9 ASSUMPTION OF RISKS

All persons covered by this Guide acknowledge that horses, horse riding and racing, horse training and practicing, caring for horses and all other equestrian related activities can be extremely dangerous and routinely involve risk of serious injury, death and/or property damage. By participating in our industry and choosing to enter the Racetrack premises voluntarily, Racing Participant has and does hereby assume all of the above risks, and releases Racetrack, on their behalf and on behalf of all their family members, and will hold Racetrack harmless from any and all liability, actions, causes of actions, debts, claims and demands of every kind and nature whatsoever which he or she now has or which may arise out of or in connection with his/her participation in those activities and risks.

PART 2 – RESULT OF GUIDE VIOLATIONS

2.1 RESULT OF GUIDE VIOLATIONS

A violation of any of the rules and policies set forth in the Guide and/or Local Guide may lead to the imposition of sanctions against Racing Participants, including but not limited to:

(i) suspension of, or loss of privileges, including loss of stabling and/or racing privileges at Racetrack and at all PNGI Racetracks;

- (ii) loss of any or all nomination, declaration and entry fees as well as any deposits on account with Racetrack;
- (iii) eviction from any or all PNGI Racetrack premises; and/or
- (iv) any other remedy available by law.

Subject to Section 2.2 below, the sanctions as set forth by Racetrack for any violation of the rules and policies are final.

2.2 OPPORTUNITY FOR RECONSIDERATION

In cases where Racetrack has indefinitely ejected a Racing Participant from the property, the person subject to such action may seek review of the decision by written request directed to the Vice President of Racing or the Director of Racing at Racetrack which originally issued the ejection and setting forth, in detail, the grounds for the request for reconsideration. Any review shall not be considered until a minimum of one (1) year has elapsed since the last review of such ejection. Racetrack is under no obligation to grant a review or reconsider a prior ejection.

HOLLYWOODD Casino

AT CHARLES TOWN RACES





GORSE PAGNO GUDE

LOCAL SUPPLEMENT TO THE PENN NATIONAL GAMING, INC. RACING GUIDE

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Introduction

This Local Horse Racing Guide ("Local Guide") is the Hollywood Casino at Charles Town Races ("HCCTR") local supplement to the Penn National Gaming, Inc. ("PNGI") Horse Racing Guide ("Guide"). The terms of the Guide apply with full force and effect to the HCCTR facility, and HCCTR requires all personnel associated with HCCTR, and all persons who hold valid and current racing licenses or permits, or are otherwise permitted on HCCTR's privately owned property, including but not limited to, owners, racing officials, stewards, trainers, groomers, vendors, pony people, outriders, independent contractors, jockeys, jockeys' agents, minors, guests, agents (herein sometimes collectively referred to as "Racing Participants") seeking access to HCCTR's property must comply with all requirements set forth in the Guide, and any additional requirements set forth in the Local Guide.

HCCTR will, or course, comply with all applicable state laws, Rules and Regulations in the conduct of its business. As set forth in the Guide and this Local Guide, PNGI and HCCTR reserve the right, in their sole discretion, to determine the appropriate actions taken against violators of any of the requirements of either Guide. PNGI and HCCTR's actions in this regard are independent of, and not dependent upon, any action, or lack of action, that any state agency, commission, or official may take.

Contact Information

Mailing Address: Hollywood Casino at Charles Town Races

750 Hollywood Drive Charles Town, WV 25414

Main Phone: (800) 795-7001

Web Site: www.hollywoodcasinocharlestown.com

2010 Racing Dates: January 1 – December 31

Post Times: 7:15 PM Tuesday thru Saturday

6:00 PM on special event days

1:00 PM on Sundays

Racing Office Number: (800) 331-7079

Stewards Office: (304) 724-4268

Main Stable Gate: (304) 724-4228

Receiving Barn: (304) 728-6491

Training Racetrack Gate: (304) 724-3805

Test Barn: (304) 724-4396

Security Office: (304) 724-4398

Results and Scratches: (304) 724-4384

West Virginia Racing Commission

The Honorable Earl Ray Tomblin	Governor
Joe Smith	
Jack Rossi	Member
R. Greg McDermott	Member
Jon Amores	
Charles O. Lorenson	•

West Virginia Racing Commission Charles Town Licensing Office

Mailing Address: 900 Pennsylvania Ave., Suite 553

Charleston, WV 25302

Phone: (304) 558-2150 Fax: (304) 558-6319

Phone:

Fax:

(304) 724-4312 (304) 725-4021

Charles Town Racing Officials & Personnel

John Finamore	VP of Regional Operations
Jay Snowden	VP of Regional Operations
	General Manager
Jose Flores	Assistant General Manager
Dickie Moore	General Manager of Racing Operations
Roger Ramey	VP of Public Affairs
Phyllis LeTart	VP of Business & Legal Affairs
Dan Kennedy	VP & Chief Financial Officer
	VP of Marketing
Erich Zimny	VP of Racing Operations
Randy Wehrman	
Ron Anthony	Assistant Racing Secretary
Mike ElliottSr.	Racing Official & Backstretch Supervisor
Mark Munden	Racing Official
Charles McIntosh	Racing Official
Eric Smith	Racing Official
Wayne Jamtgaard	Racing Official
Stephanie Schneider	Racing Official
Gary Leslie	Racing Official
Henry W. Allen	Clocker
Alke Darlington	
Dennis Kirk	Jock's Room Manager
Fran DiAmario	Head Starter
Doug Bowling	Track Superintendent
George Ware	Director of Security
Danny Wright	
Larry Dupuy	
Ismael Trejo	State Steward
Elizabeth Daniel/Joan Dalonzo	State Veterinarian
Danny Frye	State Inspector

Horsemen's Groups & Associations

Charles Town HBPA

835 East Washington St., Suite 106

Charles Town, WV 25414 Phone: (304) 725-1535

Fax: (304) 728-2113

Email: cthbpa@yahoo.com

West Virginia Breeders' Classics

PO Box 1251

Charles Town, WV 25414

Phone: (304) 725-0709

Email: wvbcmbn@verizon.net

West Virginia Breeders Association

611 E. 5th Avenue

Ranson, WV 25438

Phone: (304) 728-6868

Fax: (304) 724-7870

Email: wvbreeders@gmail.com

WV Thoroughbred Development Fund

c/o Charles Town Races & Slots

PO Box 551

Charles Town, WV 25414

Phone: (304) 724-4318

Horsemen's Supplies & Services

Vete	<u>rinarians</u>	Feed S	<u>Supplies</u>
iine	(304) 725-1471	Southern States	(304) 725-70

Valley Equine (304) 725-1471 Southern States (304) 725-7011 Carroll-Butler (304) 725-1752 Gowers Feed (304) 728-7777

Traveling To Hollywood Casino at Charles Town Races

Commercial Flights & Airports

<u>Airport</u>	Miles to CTRS	<u>Main Number</u>
Washington Dulles Airport	45	(703) 572-2700
Baltimore-Washington International	75	(410) 859-7111
Reagan National Airport	75	(703) 417-8000

Private Planes

Eastern Regional WV Airport, Martinsburg, WV (15 miles from CTRS) For information, contact Arcadia Aviation at: (304) 262-2507

Limousine Service

Detailed Destinations	(304) 270-8700
Formal Express, Inc.	(304) 263-7282
Luxury Transport of Charles Town	(304) 725-5066

Equine Transportation

Ground Transpor	rtation	Air Transpo	ortation
<u>Company</u>	Contact #	<u>Company</u>	Contact #
Sallee Horse Vans	(800) 967-8267	Tex Sutton Forwarding	(859) 231-0646
Elite Horse Transport	(888) 477-0100		
U.S. Equine Int'l Transport			
Agents	(859) 987-4406		

Location & Directions

Hollywood Casino at Charles Town Races, located in Charles Town, WV, is situated 10 minutes from historic Harpers Ferry. The entertainment facility is within 60 miles of the Baltimore and Washington D.C. beltways. Directions from surrounding areas:

- From the Baltimore, MD area (approximately 60 minutes) Take Route 70 West to Frederick. Take 340 West and follow approximately 20 miles to Charles Town. Hollywood Casino at Charles Town Races can be seen on your right.
- From the Washington D.C. & Northern Virginia area (approximately 70 minutes) Take Route 7 to Leesburg. Follow Route 7 bypass to Route 9 (approximately seven miles). In Charles Town, make right on Washington Street; follow one mile to Hollywood Casino at Charles Town Races.
- From the Chambersburg, PA area (approximately 45 minutes) Take Interstate 81 South to West Virginia. Get off Exit 12 and make left onto Route 9. Follow Route 9 approximately fourteen miles to Charles Town. Take Hollywood Casino at Charles Town Races exit.
- From the Frederick, MD area (approximately 25 minutes) Take Route 340 West to Charles Town. Follow signs for Hollywood Casino at Charles Town Races.
- From the Hagerstown, MD area (approximately 30 minutes) Take Interstate 81 South to West Virginia. Get off Exit 12 and make left onto Route 9. Follow Route 9 approximately 14 miles to Charles Town. Take Hollywood Casino at Charles Town Races exit..
- From the Harrisonburg, VA area (approximately 90 minutes) Take Interstate 81 North to Exit 5. Follow Route 51 East 12 miles to Hollywood Casino at Charles Town Races.
- From the Winchester, VA area (approximately 30 minutes) Take Interstate 81 North to Exit 5. Follow Route 51 East 12 miles to Hollywood Casino at Charles Town Races.

LODGING INFORMATION

The Inn at Charles Town accommodates 153 guestrooms, including 18 luxurious suites that overlook the Thoroughbred race track. We feature a complimentary hot buffet breakfast daily, complimentary wireless internet access, and complimentary shuttle transportation to all the racing and gaming action! With 1,700 sq feet of flexible meeting and conference space, the hotel is able to accommodate groups of 5 to 150.



Available at The Inn at Charles Town is the Shenandoah meeting room which features 1,470 square feet of flexible space, accommodating both business and social events. Classroom style set ups can accommodate up to 70 people, 150 for a theater-style arrangement and up to 110 for a luncheon or dinner event. Shenandoah also can be divided into two separate spaces, to increase meeting flexibility as needed.

The Potomac Executive boardroom is also located at The Inn at Charles Town. This upscale boardroom features rich interiors, millwork, boardroom seating for up to 8 people and a conference speakerphone installed as a standard feature. Tours of the banquet and meeting space can be arranged by the Group Sales Team.

You can contact our hotel reservations office by calling 1-304-885-5800 to make reservations 24 hours per day.

OTHER AREA LODGING

Hotel	Location	Minutes to Racetrack	Phone Number
Holiday Inn Express	Charles Town, WV	1	(304) 725-1330
Turf Motel	Charles Town, WV	1	(800) 422-8837
Town House Motor Lodge	Charles Town, WV	1	(800) 227-2339
Knights Inn	Charles Town, WV	1	(304) 725-2041
The Carriage Inn	Charles Town, WV	3	(800) 867-9830
Washington House Inn	Charles Town, WV	5	(800) 297-6957
Gilbert House	Charles Town, WV	8	(304) 725-0637
Hillbrook Inn	Charles Town, WV	8	(304) 725-4682
The Clarion Hotel & Conference Center	Shepherdstown, WV	15	(304) 876-7000
Holiday Inn	Martinsburg, WV	20	(304) 267-5500
Comfort Suites	Martinsburg, WV	20	(304) 263-8888

2012 RACING CALENDAR

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POST TIMES

Tues., Wed., Thu.		Sunday, M	1onday	CT Classic, Sp	orint Festival	West Virginia Breeders' Classic		
Fri. &	Sat.	& Holi	days	& Race for				
Race 1:	7:15	Race 1:	1:00	Race 1:	6:00	Race 1:	7	
Race 2:	7:41	Race 2:	1:27	Race 2:	6:27	Race 2:	7	
Race 3:	8:07	Race 3:	1:54	Race 3:	6:54	Race 3:	8	
Race 4:	8:33	Race 4:	2:21	Race 4:	7:21	Race 4:	8	
Race 5:	9:00	Race 5:	2:48	Race 5:	7:48	Race 5:	9	
Race 6:	9:27	Race 6:	3:15	Race 6:	8:15	Race 6:	9	
Race 7:	9:58	Race 7:	3:42	Race 7:	8:45	Race 7:	10	
Race 8:	10:25	Race 8:	4:09	Race 8:	9:15	Race 8:	10	
Race 9:	10:52	Race 9:	4:36	Race 9:	9:45	Race 9:	11	
Race 10:	11:18	Race 10:	5:02	Race 10:	10:30			
				Race 11:	11:00			

Track, Stabling & Training Information

Track Surface

6 furlong dirt oval, with 4 ½ chute

6% slope in turns Width: 60 feet

Number of Stalls: 1,350 (approx.)

Training Hours*

Winter Months: 6:30am to 8:30am; 9:00am to 11:00am Spring/Summer: 6:00am to 8:00am; 8:30am to 10:30am

*STARTING GATE HOURS

Winter months: 8:30am to 10:30am Spring/Summer: 9:00am to 11:00am

HORSEMEN HOSPITALITY POLICIES

STABLING

Trainers shipping horses to HCCTR in advance of stakes (or other races) must make arrangements with the racing office for stabling. Trainers will receive their assigned stall(s) upon arrival.

BOX SEATS

Boxes containing six (6) seats are available for annual or quarterly purchase pending availability. The cost per box is \$1,500 for annual use and \$375 for quarterly use. Boxes not sold will be made available to the general public at a cost of:

- \$50 for event nights (Charles Town Classic, Charles Town Sprint Festival, Race for the Ribbon & West Virginia Breeders' Classics nights); and
- \$20 for all other racing programs

For quarterly or annual box seat information, please contact Susan Graham at (304) 724-4206

DINING

For event days, space will be set aside for horsemen running in stakes that night. We will accommodate as many horsemen as possible, but the space will be taken on a first-come, first-serve basis. Horsemen should call the Skyline Terrace at (304) 724-4244 for reservations.

^{*}As they may change from year to year, please check with the racing office for the exact dates of winter and summer training hours.

PART 1 - PERSONS ENTERING THE GROUNDS

1.1 All Racing Participants in the stable area must have a valid West Virginia Racing Commission occupational permit and HCCTR issued photo ID badge in their possession at all times. Horsemen must present their permit and ID upon entering the area and when requested to do so by a HCCTR Security officer.

1.2 For individuals coming from another racing property or jurisdiction that do not have both a current West Virginia Racing License and HCCTR Badge, the following policy will apply:

At the main stable entrance, they are to present and surrender a valid & current government issued photo ID, such as a driver's license or racing license from another jurisdiction in exchange for a wristband. The wristband will give them access to their horse, the receiving barn and the track kitchen. They are to ensure that they have obtained a racing license and HCCTR Badge prior to their horse's race in order to enter the paddock. The wrist band will be removed by the Badging office personnel only. Following receipt of their license and HCCTR badge, the individual may go to the stable gate and retrieve their identification.

- 1.3 Commercial Horse Carriers are to present and surrender to the Security Officer, a valid & current government issued photo ID, such as a driver's license or racing license from another jurisdiction in exchange for a wristband. Upon dropping off or picking up horses, they can retrieve their identification upon exiting the barn area.
- 1.4 All people must enter and depart HCCTR property, including the stable area, through an authorized security access point. Any person found in violation of this will be subject to:
 - a one (1) month ejection from the grounds for a first offense;
 - a six (6) month ejection from the grounds for a second offense;
 - an indefinite ejection from the grounds for a third offense.
- 1.5 Minors must be accompanied by an adult occupational permit holder while in the stable area and under their supervision at all times. Badge holders supervising minors must see the HCCTR badging office to have the names of such minors stickered to their badge prior to the minors coming on property. Parents or Guardians accept all responsibility and liability for minors on the grounds at all times. Minors may not enter restricted areas on their own.
- 1.6 Minors are not allowed on the gaming floor at any time.
- 1.7 Minors are allowed on the racing side of the facility one (1) hour prior to scheduled post time, up until thirty (30) minutes following the completion of the final live race.

PART 2 - HORSES ENTERING THE GROUNDS

- 2.1 Proof of a valid Coggins Test must be presented for all horses entering HCCTR grounds. A valid Health Certificate must be presented for all horses having crossed state lines in their travel to HCCTR and all two-year-old horses entering HCCTR grounds. Coggins or health certificates held on file in the racing office will not afford a horse gate access.
- 2.2 All "Work & Go" horses must report to the Receiving Barn and check in with Security prior to training and must leave HCCTR grounds by no later than 12:00 noon.
- 2.3 Only horses shipping into the receiving barn will be permitted to trailer onto HCCTR grounds during training. Horses may trailer onto HCCTR grounds during the training break.
- 2.4 All "Race & Go" horses must report to the Receiving Barn only and check in with Security for stall assignment. All horses must leave 1 hour after the last race is complete, unless written permission is received from the Racing Secretary or his or her designee. Horses leaving the following day after receiving permission must be off HCCTR property by 7:00 a.m., unless written permission is received from the Racing Secretary or his or her designee. Horses not leaving the grounds in a timely fashion will subject their trainers to the following:
 - First offense in any six (6) month period: removal of the horse(s) from the grounds within forty-eight (48) hours.

- Second offense in any six (6) month period: removal of the horse(s) from the grounds within forty-eight (48) hours and ejection of the trainer from the HCCTR grounds for a period of three (3) months.
- Third offense in any six (6) month period: removal of the horse(s) from the grounds within forty-eight (48) hours and ejection of the trainer from HCCTR grounds for a period of one (1) year.
- 2.5 All "Ship Ins" (non Race &Go horses remaining on HCCTR grounds) must have a Permission slip (in slip) to enter HCCTR grounds. No Exceptions. This includes horses racing and subsequently staying overnight. All In-Slips must be obtained from the racing office during racing office hours, or under special circumstances with approval of the Racing Secretary or his or her designee.
- 2.6 Anyone having forged or altered an in-slip will be subjected to the following:
 - First offense: Ejection from HCCTR grounds for a period of six (6) months.
 - Second offense: Indefinite ejection from HCCTR grounds.
- 2.7 Goats or other hooved animals used to assist a horse in the stall must provide a Health Certificate when entering the barn area and are not allowed to roam freely in the shed row or stable area. Goats or other hooved animals leaving and subsequently returning to the barn area, must present a new Health Certificate.

- 2.8 The following policies and procedures apply to unnamed horses and horses without tattoos entering the HCCTR grounds:
 - 2.8.1 No yearlings are allowed to occupy the stalls of trainers who have been allocated stalls.
 - 2.8.2 Two-year-olds are not allowed on the grounds prior to February 15th of their two-year-old year.
 - 2.8.3 Unnamed or untattooed horses attempting to enter HCCTR grounds are subject to the following restrictions:
 - 2.8.3.1 The name of the dam and the year the horse was foaled MUST be on the in-slip;
 - 2.8.3.2 The horse MUST be named and tattooed no later than fifteen (15) days after the horse was allowed on the grounds;
 - 2.8.3.3 It is the trainer's responsibility to bring the foal papers (with name and tattoo number) to the racing office within this fifteen (15) day timeframe; and
 - 2.8.3.4 Should the trainer fail to name and/or tattoo the horse within fifteen (15) days, the horse must immediately leave the grounds and the trainer will forfeit one (1) stall allocated to him/her.
 - 2.8.4 The Racing Office will be tracking the unnamed/untattooed horses entering HCCTR grounds. The Racing Office may flip lips during the random horse inspections to verify horses' identities. Anyone found putting false information on in-slips or any other documentation will be subject to actions as set forth in the Local Guide, including a full revocation of stalls or an association ejection should the conduct warrant it.

PART 3 - TRAINING RACETRACK GATE

- 3.1 All persons / horses entering the Training Racetrack Gate must comply with the following policies.
 - 3.1.1 All horses using the training gate must be pre-registered with the racing office on this sign-up sheet prior to using the training gate. All Trainers pre-registering horses must present a valid Coggins when doing so. All out-of-state horses pre-registering, must also present a valid Health Certificate. All sign-ups for the upcoming week must be done prior to the horses leaving the paddock for the next to last race of the racing week (e.g., the 8th race on a Saturday night or Sunday afternoon when Sunday racing resumes). All registered horses stay on the registration list indefinitely, unless their Coggins expires, at which point they must provide proof of a new valid Coggins, and re-register by the deadline. All Train and Go's must leave back through the Training Racetrack Gate following training.

- 3.1.2 Everyone must possess and show both their valid West Virginia Racing Commission Occupational Permit and HCCTR picture ID badge.
- 3.1.3 All horses entering and exiting through the Training Racetrack Gate will have their tattoos verified. It is the responsibility of the Handler/Trainer/Rider to flip the horse's lip for Security. If unable to verify tattoo, the horse will be denied entry.
- 3.1.4 Race and Go's are not allowed to enter by way of the Training Racetrack Gate. They must enter through the Charles Town Gate and report to the Receiving Barn for check in and tattoo verification.
- 3.1.5 Exercising of horses is NOT permitted in the stable area, fields or any other open areas.

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- 3.1.6 No horses are allowed on the training Racetrack until an Outrider is on duty.
- 3.2 Hours of operation for the Training Racetrack Gate will only be ½ hour before training and will close at 12:00 noon.

PART 4 - VEHICLES

- 4.1 Any vehicle operated on the grounds or in the stable area must be properly registered and insured. No person shall operate any vehicle without a valid driver's license.
- 4.2 Any vehicle entering HCCTR grounds will be subject to search.
- 4.3 Motorcycles, bicycles, campers, house trailers, mobile homes and ATV's shall not be permitted in the stable area at any time. Bicycles may only be parked at the entrance gate or horsemen's lot at the owner's risk.
- 4.4 No vehicles of any type will be driven on horse paths except by racetrack maintenance, and veterinarians and blacksmiths must have a valid West Virginia Racing Commission Occupational Permit and a valid HCCTR issued picture badge.
- 4.5 Vehicles may not be operated in the barn area during training times except by:
 - Trainers allocated stalls in the HCCTR barn area*
 - Veterinarians licensed by the WVRC and holding a valid HCCTR badge
 - Blacksmiths licensed by the WVRC and holding a valid HCCTR badge
 - HCCTR Racing Personnel
 - HCCTR Security Personnel
 - Horses shipping into the receiving barn to train or race
 - Commercial equine transportation vans carrying horses remaining on HCCTR property
 - * Trainers or assistant trainers that are registered as secondary caretakers for a trainer allocated stalls must see the racing office. In that instance, the ability to drive may be

- granted on a case by case basis (e.g. if a secondary caretaker has oversight over a trainer's split string division stabled at HCCTR)
- 4.6 No morning vendor deliveries will be permitted until training has been completed for the day.
- 4.7 Horses may not be shipped onto HCCTR grounds during training hours except those shipping into the receiving barn. Horses will be permitted to trailer in during the training break.
- 4.8 For trainers allocated stalls, the following actions apply against any individual cited in violation of 4.4, 4.5 or 4.6 above (which includes loaning their badge to someone not permitted to drive in the barn area) in a twenty-four (24) month period:
 - A six (6) month suspension of the person's driving privileges in the barn area following the first offense.
 - A loss of all stalls allocated to the trainer on HCCTR grounds following a second offense
 - An indefinite ejection from HCCTR grounds following a third offense

For all others cited in violation of 4.4, 4.5 or 4.6 above, the following actions apply against any individual cited in violation of this policy (which includes loaning their badge to someone not permitted to drive in the barn area) in a twenty-four (24) month period:

- A six (6) month suspension of all driving privileges in the barn area following the first offense.
- A six (6) month ejection from HCCTR grounds following a second offense
- An indefinite ejection from HCCTR grounds following a third offense
- 4.9 Vehicles driven in the stable area shall not exceed 15 miles per hour and must stop at all horse crossing intersections and stop signs. No vehicle may be operated in a reckless manner at any time.
- 4.10 No parking in restricted areas or working on vehicles in the stable area. Vehicles found parked outside designated parking areas will be ticketed and may be towed at the owner's expense.
- 4.11 At all times, horse trailers must be parked in designated areas along Barns 13, 17 & 21. They may be unhooked at that location only. Trailers must remain hooked in all other areas.
- 4.12 The following actions apply against any individual cited in violation of these vehicle rules other than 4.4, 4.5 & 4.6 in a twenty-four (24) month period:
 - a one (1) month suspension of the person's driving privileges in the barn area following the first offense.
 - a six (6) month suspension of the person's driving privileges in the barn area following the second offense.

- An indefinite suspension of the person's driving privileges in the barn area following the third offense.
- 4.13 Security reserves the right to tow any vehicles not in compliance with these rules at the owner's expense.
- 4.14 The following vehicle policies, with respect to trailers and trailer parking, apply:
 - 4.14.1 All owners parking vehicles/trailers on HCCTR/Racetrack property must show proof that the vehicle/trailer is legally registered with the DMV/MVA and properly insured.
 - 4.14.2 Those parking trailers on HCCTR grounds do so at their own risk.
 - 4.14.3 All trailers must have valid license plates attached to them.
 - 4.14.4 A parking permit sticker will be issued to all trailers meeting the above requirements.
 - 4.14.5 The sticker will be placed on the outside of the trailer in the front side facing the tow hitch.
 - 4.14.6 No parking permits will be issued for any type of camper, RV or mobile home.
 - 4.14.7 All permits are issued at the discretion of HCCTR/Racetrack and may be revoked at any time.
 - 4.14.8 Trailers may not obstruct any path for moving vehicles.
 - 4.14.9 Trailers may not be parked between barns.
 - 4.14.10 No trailers can be used to dump garbage, debris or other materials.
 - 4.14.11 Trailers may not remain in the barn area overnight, but are permitted in the designated parking area across Racetrack Road for a period of no longer than 48 hours, unless permission is received from HCCTR Security.
 - 4.14.12 Anyone found violating this policy will be towed.

PART 5 - PROHIBITED ITEMS

- 5.1 No propane/gas, charcoal or electric grills permitted in the stable areas.
- No extension cords are permitted to be used in the stables, tack rooms and/or shed rows. All permitted devices must be plugged in directly to an outlet.

- 5.3 No heating or cooking devices of any kind are permitted in the stables, shed rows and/or tack rooms. Heating and cooking devices include but are not limited to heat lamps; immersion heaters; microwaves; toaster ovens, hot plates, space heaters and coffee makers.
- 5.4 No flammable liquids or materials are to be stored in stables, shed rows, and/or tack rooms.
- 5.5 Dogs will not be permitted in the stable areas at any time.
- 5.6 No firearms, explosive materials, fireworks, ammunition, and/or any other type of weapons are permitted in the stable area at any time.
- 5.7 Only desks, chairs, filers and other simple office equipment are allowed in tack rooms.
- 5.8 Only square bales of hay are permitted. Round bales are not allowed.
- 5.9 Signs or displays that advertise goods or services are strictly prohibited and considered to be violations of Racetrack's/HCCTR' no-solicitation policy.

PART 6 - PROHIBITED ACTIVITIES

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- 6.1 The use, distribution or possession of alcohol or illegal drugs is not permitted at any time on grounds of HCCTR. For the purposes of this rule, an illegal drug is any drug which is not legally obtainable or one which is legally obtainable but has not been legally obtained, including prescription drugs not legally obtained and prescription drugs that were prescribed for someone else.
- 6.2 The use of the tack rooms is limited to storing equipment for horses or and simple office use only. Human habitation in the stables and/or tack rooms is strictly prohibited.
- 6.3 Televisions may not be plugged into existing HCCTR cable outlets.
- 6.4 Any excessive noise/or loud parties in the stable areas are prohibited.
- Other than in cases of medical emergency, cell phone use is not permitted by people mounted on horses.
- Other than HCCTR employees, there is no smoking in the barn area or on the track other than in an individual's enclosed vehicle (this includes electronic cigarettes). The following actions will be imposed on those violating the smoking rules:
 - a thirty (30) day ejection from the grounds following a first offense
 - a six (6) month ejection from the grounds following a second offense
 - an indefinite ejection from the grounds following a third offense

PART 7 - FANS & ELECTRICAL CORDS

- 7.1 All fans must be approved by HCCTR maintenance before their installation and use.
- 7.2 All fans must be UL approved with a 3-prong style grounded cord.
- 7.3 No extension cords, including electrical fan cords or remanufactured cords are permitted. All fans must be plugged directly into the outlet with their original cord.
- 7.4 Electrical fans must have a cage or screen type cover over the fan blades. Box fans are not permitted.
- 7.5 The use of electrical taps on outlets is strictly prohibited.
- 7.6 All fans must be securely mounted in a location approved by HCCTR maintenance and out of the physical reach of all horses.
- 7.7 All fans must be kept in working order with no loose parts.
- 7.8 Any unsafe fans or cords will be collected and disposed of.
- 7.9 If unapproved fans are found, or anyone is found in violation of these rules, it will result in the required removal of the fans, with the actions and timelines outlined in the "Barn & Horses Inspections" section applicable.

PART 8 - BARN MAINTENANCE & POLICIES

- 8.1 Tack rooms are subject to search at random or during safety inspections.
- 8.2 All straw and manure is to be placed in the manure dumpsters only. Do not place other trash items in manure dumpsters.
- 8.3 Anyone dumping manure in a manure dumpster must push it to the highest, rear point in the dumpster.
- 8.4 Areas around the dumpsters are to be cleaned and maintained by stable/stall assignees.
- 8.5 Trash dumpsters are to be used for trash from the stables only. No person shall bring any off-site trash for disposal in the dumpsters.
- 8.6 Trainers will be held responsible for manure dumpster and trash violations committed by their help.
- 8.7 Any trainer (including the trainer's help) cited for violating the aforementioned trash and manure rules will be subject to:
 - The loss of one (1) stall for a first offense in a twelve (12) month period;
 - The loss of five (5) stalls for a second offense in a twelve (12) month period; and

- The loss of all stalls for a third offense in a twelve (12) month period.
- 8.8 Deliveries of hay, straw, feed, etc. shall not be delivered until after training hours and only up until one (1) hour before post time for the first race. Vendors are responsible for cleaning areas before they leave. Management reserves the right to bar materials it determines to be unsuitable.
- 8.9 All water faucets must be turned off after use and hoses disconnected.
- 8.10 Electric wiring is not to be altered by any additional temporary plugs or wire.
- 8.11 Light bulbs of more than 100 watts are not allowed.
- 8.12 Mechanical hot walkers are not permitted.
- 8.13 Exercising of horses is NOT permitted in the stable area, fields or any other open areas.
- 8.14 Shed rowing is not permitted. People are strictly forbidden to be mounted on a horse that makes one full rotation of the barn area while in the shed row.

8.15 All individuals on HCCTR grounds must cooperate with Security. Any violation of the West Virginia Thoroughbred Horse Racing Statute and/or Rules and Regulations of the WV Racing Commission will not be tolerated and will be reported to the Board of Stewards.

PART 9 - BARN & HORSE INSPECTIONS

- 9.1 HCCTR expects trainers allocated stalls to ensure their allocated stalls and area are kept clean, safe and in a professional manner. As needed, HCCTR will conduct barn inspections to ensure trainers are complying with this requirement. Anyone found in violation will be given one week, or another time period as indicated by HCCTR, to correct any problem that is found. Any problem not corrected in this time period will result in the loss of one stall. Should the problem not be corrected within one additional week, the trainer may lose all stalls allocated to them.
- 9.2 Unless written permission is granted by the Racing Secretary or his or her designee, HCCTR requires the registered trainer for horses occupying stalls in the barn area to be the same person allocated the stall where the horse is stabled. HCCTR will also conduct announced and unannounced tattoo checks of horses in stalls. At any point, a trainer found with a horse other than their own in any one of their stalls without prior written permission of the Racing Secretary will lose each stall where such a horse is found. A trainer whose horse is found in a stall not allocated to them will also lose one (1) stall for each such horse found. Any trainer subject to discipline for this infraction twice in any twelve (12) month period shall lose all stalls allocated.

- 9.3 Should a horse be transferred between two trainers having stalls, a trainer transfer must be completed no later than one (1) live racing day following the transfer, or both trainers involved will be considered out of compliance with Section 9.2 above.
- 9.4 Any horse, excluding two-year olds, found occupying a stall that shows no starts or entries at Charles Town in the previous four month and no workouts in the previous three months, and has been on our grounds over that period, will subject the trainer allocated those stalls to the following:
 - First Offense in any six (6) month period: Written warning
 - Second Offense (and all subsequent offenses) in any six (6) month period: Loss of one stall per inactive horse

PART 10 - TRAINING & SCHOOLING RULES

- 10.1 Helmets and vests must be worn at all times by people mounted on horses.
- 10.2 The following activities are strictly prohibited during training:
 - 10.2.1 Galloping backwards;
 - 10.2.2 Galloping on the rail;
 - 10.2.3 Backing up past the half-mile pole; and
 - 10.2.4 Jogging or galloping on the ramp or horse paths.
- 10.3 Entrance gates to the training Racetracks will close ten (10) minutes prior to the Racetrack's closing for break or for the day.
- 10.4 Paddock schooling may only take place during the morning training break or during the races at night. All trainers wishing to school a horse must notify and get permission from the Paddock Judge prior to schooling.

PART 11 - PADDOCK ACCESS

- 11.1 HCCTR recognizes the paddock as a restricted and working area.
- 11.2 All persons entering the paddock must be in possession of their license and HCCTR badge and may be asked to present it on request. Anyone not in possession of the proper identification items will be denied entrance.
- 11.3 With the exception of certain special events, horsemen remaining in the paddock for a race must stand either by their stall or behind the owner's railing. Anyone not doing so will be asked to comply or be removed from the paddock.

- 11.4 Children are not allowed in the paddock at any time. People wishing to get back to the barn area with children must walk through the building and racing office to one of the stable gates.
- 11.5 Absolutely no food and drink is allowed in the paddock during racing hours. All people will be required to discard their food and drink items prior to entering the paddock.
- Other than HCCTR personnel, cell phone use is not permitted in the paddock, other than in the space behind the owner's railing.

PART 12 - SPECIAL EVENT PADDOCK ACCESS

- 12.1 The following events will have separate paddock access policies:
 - Charles Town Classic day
 - Charles Town Sprint Festival
 - HCCTR Race for the Ribbon
 - West Virginia Breeders' Classics
- 12.2 For certain stakes on these days, access stickers or wristbands will be required to enter the paddock for specific races. All access stickers and wristbands will be distributed through the racing office.
- 12.3 Due to space constraints, each entrant will be allowed six (6) paddock access stickers for their race. In certain circumstances, people will be given all-access stickers covering multiple races.

PART 13 - DIVISION OF PURSES

13.1 All overnight races will be subject to the following purse distribution.

 1st
 2nd
 3rd
 4th
 5th
 6th
 7th
 8th
 9th
 10th

 60%
 20%
 10%
 5%
 3%
 2%
 \$100
 \$100
 \$100

13.2 Monies paid out to horses finishing 7th thru last, will be paid out in addition to the published purse.

PART 14 - RACING OFFICE HOURS

14.1 The following will be considered normal business hours of the racing office when racing a five-week schedule between January and April:

Monday: Closed

Tuesday: 9:00am to 1:00pm; 6:00pm to 11:00pm

Wednesday: 9:00am to 1:00pm; 6:00pm to 11:00pm Thursday: 9:00am to 1:00pm; 6:00pm to 11:00pm Friday: 9:00am to 1:00pm; 6:00pm to 11:00pm Saturday: 9:00am to 1:00pm; 6:00pm to 11:00pm

Sunday: Closed

14.2 The following will be considered normal business hours of the racing office when racing a five-week schedule between May and December:

Monday: Closed Tuesday: Closed

Wednesday: 9:00am to 1:00pm; 6:00pm to 11:00pm Thursday: 9:00am to 1:00pm; 6:00pm to 11:00pm Friday: 9:00am to 1:00pm; 6:00pm to 11:00pm Saturday: 9:00am to 1:00pm; 6:00pm to 11:00pm

Sunday: 9:00am to 5:00pm

PART 15 - CLOSING OF ENTRIES

Unless otherwise indicated in the condition book, or on the overnight, entries close at 11:00 AM on the following entry schedule for the months between January and April.

Entry Day:	Tuesday	Wednesday	Thursday	Friday	Saturday
Race Day:	Friday	Saturday	Tuesday	Wednesday	Thursday

Unless otherwise indicated in the condition book, or on the overnight, entries close at 11:00 AM on the following entry schedule for the months between May and December.

Entry Day:	Sunday	Wednesday	Thursday	Friday	Saturday
Race Day:	Friday	Saturday	Sunday	Wednesday	Thursday

- 15.3 Certain races may be kept open or changed after 11:00 AM at the Racing Secretary's discretion.
- 15.4 Entries for any Futurity that must be split upon overfilling will close at 11:00 AM and will not remain open after that time.
- 15.5 Races announced as "off" by the Racing Secretary means the race will not be carded for that race day regardless of entries that may come in after it's called off.

PART 16 - DRAW-IN DEADLINE

16.1 For night racing, the deadline for drawing in off the alternates list is 10:30 AM on race day.

16.2 For day racing, the deadline for drawing in off the alternates list is 10:30 PM the day prior.

PART 17 - RACING OFFICE POLICIES

- 17.1 Any trainer wishing to scratch part of any entry must do so prior to the draw-in time on race day.
- 17.2 Jockeys must be final at the draw for all races. With the exception of coupled entries, double calls are not permitted.
- 17.3 Horses not entitled to the first weight allowance in a race shall not be entitled to any subsequent allowances.
- 17.4 Horses not incurring the first weight penalty in a race shall not be forced to incur any subsequent penalties.
- 17.5 Horses entered in long races at a mile or more will be eligible for an additional three (3) pound weight allowance if that horse has not won any race in their lifetime at a mile or more. This weight allowance is not automatic, must be claimed at the time of entry and cannot be claimed in maiden races.
- 17.6 Winners or non-winners of a stated sum means a winner or non-winner of a single race of that value to the winner.

- 17.7 Owners and trainers must claim allowances and penalties at the time of entry and are solely responsible if a horse starts with or is disqualified due to the incorrect weight.
- 17.8 Owners and trainers are solely responsible for their horse's eligibility in races where they enter.
- 17.9 No entries will be accepted for and no stalls will be allocated to:
 - Horses which have started for a claiming price of \$5,000 or less and have not finished first, second, third or fourth for a claiming price of at least \$5,000 in their 7 starts since staring for \$5,000.
 - Any maiden six (6) years-old or older.
 - Maidens which have started for a maiden claiming price of \$5,000 or less and have not finished second, third or fourth for a claiming price of at least \$4,500 in their last seven (7) starts since starting for maiden \$5,000.
- 17.10 Any horse (13) thirteen years old or older will be allowed to start after special permission of the Stewards, and provided such horse won a recognized flat race the previous year.
- 17.11 HCCTR reserves the right to refuse any entry.
- 17.12 HCCTR will not be responsible for any injury to horses or Racing Participants at any time on any part of the premises.

17.13 Owners and trainers are advised that unless any delinquent accounts with the horsemen's bookkeeper are brought current, their entries will not be accepted and their foal papers will not be released.

- 17.14 In all West Virginia accredited or preferred races, any horse entered must be accompanied by a West Virginia Certificate of Registration issued by the West Virginia Thoroughbred Breeders' Association.
- 17.15 The Cornell Collar may not be used at HCCTR at this time.
- 17.16 No horse will be allowed to jog, trot, cantor or gallop on the main Racetrack or training Racetrack without a saddle.

PART 18 - CONDITION ELIGIBILITY

- 18.1 The following clauses will apply to any race in the condition book where "Condition Eligibility" is noted in the conditions of the race:
 - In allowance/non-claiming type races, horses will be preferred by their available conditions beginning with stakes winners through their allowance conditions, down through maiden, then maiden claiming (e.g. NW3 horses preferred over NW2 horses in NW3 allowance races, provided that horse won an allowance and maiden special weight).
 - In NW2 allowance races, preference is given to horses that have broken their maiden for \$25,000 and upward.
 - Horses winning any West Virginia Accredited race shall not have it count against them for purposes of eligibility in any non-maiden unrestricted race except selected NW2 allowance races.

PART 19 - PREFERENCE & PREFERENCE DATE SYSTEM

- 19.1 Winners are preferred in all winners races.
- 19.2 Winners of three (3) or more races are preferred in all open claiming races.
- 19.3 Under the Preference Date System at Charles Town, a horse will receive either an Entry Date or a Run Date. Preference will be given to the horse with the earliest dates. An Entry Date takes preference over a Running Date of the same day. Horses having identical dates will be chosen by lot.
- 19.4 Horsemen can establish an Entry Date for their horses by: (a) registering their Foal Papers with the Racing Office, in which case the horse will be given an E-Date corresponding to the date the Foal Papers are turned in; or (b) being denied the opportunity to run in a race previously entered for any reason other than scratching. For

- purposes of clause (b) entering in an extra race in the condition book that is not used will not constitute the denial of an opportunity to run.
- 19.5 Preference dates are good for any type of race or distance.
- 19.6 Preference dates will not supersede conditions of the races.
- 19.7 An R-Date is established when a horse drawn into the body of a race runs.
- 19.8 Horses keeping the same E or R Date for a period of longer than 180 days will lose their preference date and may only reestablish one by entering or running and receiving an E or R Date in accordance with these rules.
- 19.9 Date only entries will not be accepted.
- 19.10 Horses on the alternates list will draw into the body of the race in order of preference. Alternates that scratch from the program will retain their previous date provided they did not have an opportunity to run.
- 19.11 Not more than one horse owned by the same person shall be drawn into any overnight race to the exclusion of a single interest. Second choice same owner will not receive a date unless in the body of the race.
- 19.12 Horses with established dates, which re-enter but do not get in, will keep the date previously established.
- 19.13 Horses which have established a Date will lose their preference should their papers be withdrawn from the Racing Office or if they race elsewhere and must reestablish a Preference Date. Should horses papers be withdrawn from the Racing Office for just cause (i.e., tattooing, corrections, changes of ownership or clarifications, etc.) the Racing Secretary may determine the status of the Preference Date established.
- 19.14 In Today horses receive no consideration.
- 19.15 Horses which scratch with foal papers on file will receive the next day's entry date, unless they scratch prior to scratch time and had the opportunity to run, then they will lose their date. Any horse that scratches without foal papers on file will lose their date and can only re-establish their date by turning in their foal papers or by re-entering, and then will receive a corresponding E-date. Horses with foal papers on file have preference over those with no preference date.

PART 20 - HORSES SHIPPING IN TO RACE

- 20.1 All horses crossing state lines in their travel to HCCTR must have a current health certificate to enter West Virginia and the HCCTR grounds.
- 20.2 All horses must have an In-Slip as per Rule 2.4 above.

- 20.3 For race days with a first post of 7:15 PM, horses in the first three races must be in the receiving barn by 6:00 PM. For race days with a first post of 6:00 PM, horses in the first three races must be in the receiving barn by 4:45 PM. For race days with a first post of 1:00 PM, horses in the first three races must by in the receiving barn by 11:45 AM. For all remaining races, horses must be in the receiving barn (2) two hours prior to post time for the specific race.
- 20.4 For races with a purse of \$250,000 or greater, all horses running are required to be in the receiving barn by 1:00 PM on race day.

PART 21 - MEDICATION RULES

- 21.1 As per West Virginia Thoroughbred Racing Rule 58.5.1, which may be modified from time to time, no horse participating in a race shall carry in its body any drug, substance, its metabolites or analogs which are foreign to the natural horse except as provided by the regulations:
 - 1) Phenylbutazone and oxyphenylbutazone to be administered in such dosage amount that the test sample shall not contain more than 5 micrograms of the drug substance, its metabolites and analogs per milliliter of blood plasma or more than 165 micrograms of the drug substance, its metabolites and analogs per milliliter of urine.
 - 2) Phenylbutazone and oxyphenylbutazone may be administered after the horse is entered to race, but in no event less than (24) twenty-four hours before post time of the race in which the horse is entered.
- 21.2 Any graded stakes race at HCCTR will be subject to the medication and testing rules mandated by the America Graded Stakes Committee for graded stakes.

PART 22 - LASIX RULES

- Any horse that is treated with furosemide (lasix) must be treated on HCCTR grounds. All treatments will be not later than four (4) hours prior to post time and will only be administered by a private practicing veterinarian designated by the state veterinarian, or the state veterinarian.
- 22.2 The fee for lasix treatments will be collected by the horsemen's bookkeeper.
- 22.3 No trainer may remove a horse from or add a horse to the lasix list without prior approval of the state veterinarian.

PART 23 - SHOE POLICY

23.1 Horses competing at HCCTR and all other Penn National Gaming properties will not be permitted to use toe grabs in excess of four (4) millimeters in height. For outer rim

- shoes, the four (4) millimeter measurement begins at the top of the outer rim (e.g., the toe grab may not rise more than four (4) millimeters over the top of the rim).
- 23.2 The use of bends, jar caulks, stickers or any other traction device on front shoes for racing or training will be prohibited.
- 23.3 The following is a list of shoes that fit the criteria of permitted shoes: Queens Plate, Queens Plate XT, Outer Rim Shoe, Kings Plate XT, Training Plate, Fast Break, Speed Toe and Bar Shoe.
- Any horse arriving to the paddock to race and found to be wearing illegal shoes will be given the option of scratching or running without front shoes.

PART 24 - SAFETY VESTS

24.1 In response to the West Virginia Racing Commission directive, protective safety vests are now being worn by all persons mounted on a horse at HCCTR.

PART 25 - SAFETY HELMETS

25.1 All people mounted on horseback are required to wear a safety helmet with a secured chin-strap. No exceptions.

PART 26 - DRUG CONTROL

26.1 Without limitation, except as required by state law, HCCTR reserves the right, in its sole and absolute discretion, and without notice or cause, to require a blood and/or urine sample from any horse entered to race at HCCTR for the purpose of having the blood and/or urine sample tested under West Virginia approved protocols for any illegal drug or substance.